

ALEXANDER G. CALFO (SBN 152891)  
acalfo@kslaw.com

JULIA E. ROMANO (SBN 260857)  
jromano@kslaw.com

**KING & SPALDING LLP**

633 West Fifth Street  
Suite 1600

Los Angeles, CA 90071

Telephone: +1 213 443 4355

Facsimile: +1 213 443 4310

SHANNON E. BEAMER, SBN 331289

sebeamer@venable.com

**VENABLE LLP**

2049 Century Park East, Suite 2300

Los Angeles, CA 90067

Telephone: +1 310 229 9682

Facsimile: +1 310 229 9901

Attorneys for Defendants

MERCK & CO., INC.; MERCK SHARP &

DOHME CORP.<sup>1</sup>; ORGANON & CO.;

and ORGANON LLC

**UNITED STATES DISTRICT COURT**

**EASTERN DISTRICT OF CALIFORNIA**

SHERRY WALLACE, an individual,

Plaintiff,

vs.

MERCK & CO., INC., a New Jersey  
Corporation; MERCK SHARP & DOHME  
CORP., a New Jersey Corporation;  
ORGANON & CO., a Delaware  
Corporation; ORGANON LLC, a Delaware  
Limited Liability Company; and DOES 1-10,  
Inclusive,

Defendants.

Case No.

**DECLARATION OF J. ROMANO IN  
SUPPORT OF NOTICE OF REMOVAL  
AND REQUEST FOR JUDICIAL  
NOTICE**

[Merced County Superior Court Case No.  
22CV-00737]

Action Filed: March 4, 2022

Action Removed: May 20, 2022

Trial Date: None Set

<sup>1</sup> Merck Sharp & Dohme Corp. is now known as Merck Sharp & Dohme LLC.

1 I, Julia E. Romano, hereby declare and state as follows:

2 1. I am an attorney licensed to practice law in California and a Partner at King &  
3 Spalding, LLP, counsel of record for defendants MERCK & CO., MERCK SHARP & DOHME  
4 CORP., ORGANON & CO., and ORGANON LLC (collectively, “Defendants”). I have personal  
5 knowledge of the matters stated below and, if called upon, could and would testify competently  
6 thereto.

7 2. This declaration is submitted in support of Defendants’ Notice of Removal and  
8 Removal of Action and Request for Judicial Notice in Support of Defendants’ Notice of Removal  
9 and Removal.

10 3. Attached hereto as **Exhibit 1** is a true and correct copy of the Complaint, filed in the  
11 Superior Court of the State of California, County of Merced on or about March 4, 2022, entitled  
12 *Sherry Wallace v. Merck & Co., et al.* Merced County Superior Court Case No. 22CV-00737, along  
13 with the Summons and Civil Case Cover Sheet.

14 4. On March 7, 2022, our co-counsel Venable LLP (“Venable”) downloaded a PDF of  
15 the New Jersey Secretary of State’s Entity Details for **Merck & Co., Inc.** Venable found this form  
16 by: (1) typing the following URL address into the New Jersey Secretary of State Business Search  
17 website into the search bar: <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2)  
18 clicking the link for “Business Entity Standing Certificates;” (3) clicking “Business Name;” (4)  
19 entering the company name “Merck & Co., Inc.,” into the box labeled “Business Name;” (5)  
20 selecting the button labeled “Continue;” (6) selecting the first box under the heading “Short Form;”  
21 (7) adding the document to the cart; (8) purchasing the document; and (9) downloading the  
22 document using an access code.

23 5. Attached hereto as **Exhibit 2** is a true and correct copy of the New Jersey Secretary of  
24 State’s Business Entity Short Form Standing Certificate for **Merck & Co., Inc.**, that Venable  
25 downloaded using the foregoing process.

26 6. On March 7, 2022, Venable downloaded a PDF of the New Jersey Secretary of  
27 State’s Status Report for **Merck & Co., Inc.** Venable found this form by: (1) typing the following  
28 URL address into the New Jersey Secretary of State Business Search website into the search bar:

1 <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2) clicking the link for “Business  
2 Entity Status Reports;” (3) clicking “Business Name;” (4) entering the company name “Merck &  
3 Co., Inc.,” into the box labeled “Business Name;” (5) selecting the button labeled “Continue;” (6)  
4 selecting the first box under the heading “Order Status Report;” (7) adding to cart; (8) purchasing the  
5 document; and (9) downloading the document using an access code.

6 7. Attached hereto as **Exhibit 3** is a true and correct copy of the New Jersey Secretary of  
7 State’s Status Report for **Merck & Co., Inc.**, that Venable downloaded using the foregoing process.

8 8. On May 18, 2022, I downloaded an electronic copy of the California Secretary of  
9 State’s Statement of Information for **Merck Sharp & Dohme Corp.** by: (1) going to the California  
10 Secretary of State business search website: <https://bizfileonline.sos.ca.gov/search/business>; (2)  
11 entering the company name “Merck Sharp & Dohme Corp.” into the search box and clicking  
12 “search;” (3) selecting the second link displayed, labeled “Merck Sharp & Dohme Corp. (200917);”  
13 (4) clicking “View History;” (5) selecting “Statement of Information – 12/20/2021;” and (6)  
14 selecting “Download.”

15 9. Attached hereto as **Exhibit 4** is a true and correct copy of the California Secretary of  
16 State’s Statement of Information for **Merck Sharp & Dohme Corp.**, filed on December 20, 2021,  
17 that I accessed using the foregoing process.

18 10. Effective May 1, 2022, Merck Sharp & Dohme Corp. merged into Merck Sharp &  
19 Dohme LLC.

20 11. On May 19, 2022, I downloaded a PDF of the New Jersey Secretary of State’s Entity  
21 Details for **Merck Sharp & Dohme LLC**. I found this form by: (1) typing the following URL  
22 address into the New Jersey Secretary of State Business Search website into the search bar:  
23 <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2) clicking the link for “Business  
24 Entity Standing Certificates;” (3) clicking “Business Name;” (4) entering the company name “Merck  
25 Sharp & Dohme LLC,” into the box labeled “Business Name;” (5) selecting the button labeled  
26 “Continue;” (6) selecting the first box under the heading “Short Form;” (7) adding the document to  
27 the cart; (8) purchasing the document; and (9) downloading the document.

1           12. Attached hereto as **Exhibit 5** is a true and correct copy of the New Jersey Secretary of  
2 State's Business Entity Short Form Standing Certificate for **Merck Sharp & Dohme LLC** that I  
3 downloaded using the foregoing process.

4           13. On May 19, 2022, I downloaded a PDF of the New Jersey Secretary of State's Status  
5 Report for **Merck Sharp & Dohme LLC**. I found this form by: (1) typing the following URL  
6 address into the New Jersey Secretary of State Business Search website into the search bar:  
7 <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2) clicking the link for "Business  
8 Entity Status Reports;" (3) clicking "Business Name;" (4) entering the company name "Merck Sharp  
9 & Dohme LLC" into the box labeled "Business Name;" (5) selecting the button labeled "Continue;"  
10 (6) selecting the first box under the heading "Order Status Report;" (7) adding to cart; (8) purchasing  
11 the document; and (9) downloading the document.

12           14. Attached hereto as **Exhibit 6** is a true and correct copy of the New Jersey Secretary of  
13 State's Status Report for **Merck Sharp & Dohme LLC** that I downloaded using the foregoing  
14 process.

15           15. On March 7, 2022, Venable requested a copy of the Delaware Division of  
16 Corporations Short Form Standing Certificate for **Organon & Co.** Venable found this form by: (1)  
17 typing the following URL address into the Delaware Division of Corporations website into the  
18 search bar: <https://icis.corp.delaware.gov/ecorp2/services/e-filing>; (2) clicking the link for  
19 "certificate request;" (3) clicking "Document Upload;" (4) entering certification request information  
20 for the company name "Organon & Co.," into the box labeled "Corporation Name;" (5) selecting the  
21 method of return "Fed Ex," (6) selecting the button labeled "Continue," (7) purchasing the  
22 document; and (8) receiving the document via Fed Ex delivery on March 9, 2022.

23           16. Attached hereto as **Exhibit 7** is a true and correct copy of the Delaware Division of  
24 Corporations Short Form Standing Certificate for **Organon & Co.**, that Venable received via Fed Ex  
25 using the foregoing process.

26           17. On March 7, 2022, Venable downloaded a PDF of the New Jersey Secretary of  
27 State's Entity Details for **Organon & Co.** Venable found this form by: (1) typing the following URL  
28 address into the New Jersey Secretary of State Business Search website into the search bar:

1 <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2) clicking the link for “Business  
2 Entity Standing Certificates;” (3) clicking “Business Name;” (4) entering the company name  
3 “Organon & Co.,” into the box labeled “Business Name;” (5) selecting the button labeled  
4 “Continue;” (6) selecting the first box under the heading “Short Form;” (7) adding the document to  
5 the cart; (8) purchasing the document; and (9) downloading the document using an access code.

6 18. Attached hereto as **Exhibit 8** is a true and correct copy of the New Jersey Secretary of  
7 State’s Business Entity Short Form Standing Certificate for **Organon & Co.**, that Venable  
8 downloaded using the foregoing process.

9 19. On March 7, 2022, Venable requested a copy of the Delaware Division of  
10 Corporations Short Form Standing Certificate for **Organon LLC**. Venable found this form by: (1)  
11 typing the following URL address into the Delaware Division of Corporations website into the  
12 search bar: <https://icis.corp.delaware.gov/ecorp2/services/e-filing>; (2) clicking the link for  
13 “certificate request;” (3) clicking “Document Upload;” (4) entering certification request information  
14 for the company name “Organon LLC,” into the box labeled “Corporation Name;” (5) selecting the  
15 method of Return “Fed Ex,” (6) selecting the button labeled “Continue,” (7) purchasing the  
16 document; and (8) receiving the document via Fed Ex delivery on March 9, 2022.

17 20. Attached hereto as **Exhibit 9** is a true and correct copy of the Delaware Division of  
18 Corporations Short Form Standing Certificate for **Organon LLC**, that Venable received via Fed Ex  
19 using the foregoing process.

20 21. On March 7, 2022, Venable downloaded a PDF of the New Jersey Secretary of  
21 State’s Entity Details for **Organon LLC**. Venable found this form by: (1) typing the following URL  
22 address into the New Jersey Secretary of State Business Search website into the search bar:  
23 <https://www.njportal.com/DOR/businessrecords/Default.aspx>; (2) clicking the link for “Business  
24 Entity Standing Certificates;” (3) clicking “Business Name;” (4) entering the company name  
25 “Organon LLC,” into the box labeled “Business Name;” (5) selecting the button labeled “Continue;”  
26 (6) selecting the first box under the heading “Short Form;” (7) adding to cart; (8) purchasing the  
27 document; and (9) downloading the document using an access code.

22. Attached hereto as **Exhibit 10** is a true and correct copy of the New Jersey Secretary of State's Business Entity Short Form Standing Certificate for **Organon LLC**, that Venable downloaded using the foregoing process.

23. On May 18, 2022, I downloaded an electronic copy of the California Secretary of State's Statement of Information for **Organon LLC** by: (1) going to the California Secretary of State business search website: <https://bizfileonline.sos.ca.gov/search/business>; (2) entering the company name "Organon LLC." into the search box and clicking "search;" (3) selecting the second link displayed, labeled "ORGANON LLC (202017610765);" (4) clicking "View History;" (5) selecting "Statement of Information – 5/17/2021;" and (6) selecting "Download."

24. Attached hereto as **Exhibit 11** is a true and correct copy of the California Secretary of State's Statement of Information for **Organon LLC**, filed on May 17, 2021, that I accessed using the foregoing process.

I declare under penalty of perjury under the laws of the United States, that the foregoing is true and correct.

Executed on May 20, 2022, at Los Angeles, California.

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/s/ *Julia E. Romano*

Julia E. Romano

# EXHIBIT 1





**Service of Process  
Transmittal**

04/20/2022

CT Log Number 541437772

**TO:** Office of the Corporate Secretary  
Organon & Co.  
30 HUDSON ST FL 33  
JERSEY CITY, NJ 07302-4600

**RE: Process Served in California**

**FOR:** Organon & Co. (Domestic State: DE)

**ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:**

**TITLE OF ACTION:** Re: SHERRY WALLACE,an individual // To: Organon & Co.

**DOCUMENT(S) SERVED:** --

**COURT/AGENCY:** None Specified  
Case # 22CV00737

**NATURE OF ACTION:** Product Liability Litigation - Breach of Warranty

**ON WHOM PROCESS WAS SERVED:** C T Corporation System, GLENDALE, CA

**DATE AND HOUR OF SERVICE:** By Process Server on 04/20/2022 at 01:03

**JURISDICTION SERVED :** California

**APPEARANCE OR ANSWER DUE:** None Specified

**ATTORNEY(S) / SENDER(S):** None Specified

**ACTION ITEMS:** SOP Papers with Transmittal, via UPS Next Day Air  
Image SOP  
Email Notification, Office of the Corporate Secretary secretaryoffice@organon.com  
Email Notification, Lori Holmes lori.holmes@organon.com  
Email Notification, Tim Garcia timothy.garcia@organon.com  
Email Notification, Cherie Macciachera cherie.macciachera@organon.com

**REGISTERED AGENT ADDRESS:** C T Corporation System  
330 N BRAND BLVD  
STE 700  
GLENDALE, CA 91203  
866-401-8252  
EastTeam2@wolterskluwer.com

The information contained in this Transmittal is provided by CT for quick reference only. It does not constitute a legal opinion, and should not otherwise be relied on, as to the nature of action, the amount of damages, the answer date, or any other information contained in the included documents. The recipient(s) of this form is responsible for reviewing and interpreting the included documents and taking appropriate action, including consulting with its legal and other advisors as necessary. CT disclaims all liability for the information contained in this form, including for any omissions or inaccuracies that may be contained therein.





## PROCESS SERVER DELIVERY DETAILS

**Date:** Wed, Apr 20, 2022  
**Server Name:** Douglas Forrest

|               |               |
|---------------|---------------|
| Entity Served | ORGANON & CO. |
| Case Number   | 22cv00737     |
| Jurisdiction  | CA            |

| Inserts |  |  |
|---------|--|--|
|         |  |  |



# SUMMONS (CITACION JUDICIAL)

FOR COURT USE ONLY  
(SOLO PARA USO DE LA CORTE)

## NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

MERCK & CO., INC., a New Jersey Corporation; MERCK SHARP & DOHME CORP. a New Jersey Corporation; ORGANON & CO., a Delaware Corporation; ORGANON LLC, a Delaware Limited Liability Company; and DOES 1-10, Inclusive,

## YOU ARE BEING SUED BY PLAINTIFF:

### (LO ESTÁ DEMANDANDO EL DEMANDANTE):

SHERRY WALLACE, an individual,

ELECTRONICALLY FILED  
Merced Superior Court  
3/4/2022 10:08 AM  
Amanda Toste  
Clerk of the Superior Court  
By: Tawn Saephanh, Deputy

**NOTICE!** You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center ([www.courtinfo.ca.gov/selfhelp](http://www.courtinfo.ca.gov/selfhelp)), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site ([www.lawhelpcalifornia.org](http://www.lawhelpcalifornia.org)), the California Courts Online Self-Help Center ([www.courtinfo.ca.gov/selfhelp](http://www.courtinfo.ca.gov/selfhelp)), or by contacting your local court or county bar association. **NOTE:** The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case.

**¡AVISO!** Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California ([www.sucorte.ca.gov](http://www.sucorte.ca.gov)), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, ([www.lawhelpcalifornia.org](http://www.lawhelpcalifornia.org)), en el Centro de Ayuda de las Cortes de California, ([www.sucorte.ca.gov](http://www.sucorte.ca.gov)) o poniéndose en contacto con la corte o el colegio de abogados locales. **AVISO:** Por ley, la corte tiene derecho a reclamar las cuotas y los costos ocultos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is:

(El nombre y dirección de la corte es): Merced Superior Court  
627 W 21st Street, Merced, CA 9540

CASE NUMBER:  
(Número del Caso): 22CV-00737

Old Merced Courthouse

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

Shehnaz M. Bhujwala, SBN# 223484, Boucher LLP, 21600 Oxnard St., Suite 600, Woodland Hills, CA 91367

(818) 340-5400

DATE: 3/4/2022 10:08 AM

Amanda Toste

Clerk, by  
(Secretario)

Deputy  
(Adjunto)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)

(Para prueba de entrega de esta citación use el formulario Proof of Service of Summons, (POS-010)).

[SEAL]



## NOTICE TO THE PERSON SERVED: You are served

1. ☐ as an individual defendant.

2. ☐ as the person sued under the fictitious name of (specify):

3. ☒ on behalf of (specify):

under: ☒ CCP 416.10 (corporation)

☐ CCP 416.20 (defunct corporation)

☐ CCP 416.40 (association or partnership)

☐ other (specify):

4. ☒ by personal delivery on (date):

Organon & Co

☐ CCP 416.60 (minor)

☐ CCP 416.70 (conservatee)

☐ CCP 416.90 (authorized person)

1 Kevin P. Roddy, CA State Bar No. 128283  
kroddy@wilentz.com  
2 WILENTZ, GOLDMAN & SPITZER, P.A.  
90 Woodbridge Center Drive, Suite 900  
3 Woodbridge, New Jersey 07095  
Tel: (732) 855-6402

4 Kimberly Beck, *Pro Hac Vice*  
5 kim@becklawcenter.com  
BECK LAW CENTER  
6 201 E. 5th Street, Suite 1900  
Cincinnati, Ohio  
7 Tel: (888) 434-2912

8 Shehnaz M. Bhujwala, CA State Bar No. 223484  
bhujwala@boucher.la  
9 BOUCHER LLP  
21600 Oxnard Street, Suite 600  
10 Woodland Hills, California 91367-4903  
Tel: (818) 340-5400  
11 Fax: (818) 340-5401

12 *Attorneys for Plaintiff*

13 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
14 **COUNTY OF MERCED**

15  
16 SHERRY WALLACE, an individual,

17 Plaintiff,

18 v.

19 MERCK & CO., INC., a New Jersey  
Corporation; MERCK SHARP & DOHME  
20 CORP. a New Jersey Corporation;  
ORGANON & CO., a Delaware Corporation;  
21 ORGANON LLC, a Delaware Limited  
22 Liability Company; and DOES 1-10, Inclusive,

23 Defendants.  
24  
25  
26  
27  
28

Case No. 22CV-00737

**COMPLAINT FOR DAMAGES AND  
DEMAND FOR JURY TRIAL**

1. **STRICT LIABILITY - DESIGN DEFECT**
2. **STRICT LIABILITY - FAILURE TO WARN**
3. **NEGLIGENCE**
4. **NEGLIGENT MISREPRESENTATION**
5. **BREACH OF EXPRESS WARRANTY**
6. **BREACH OF IMPLIED WARRANTY**

Plaintiff SHERRY WALLACE, an individual, (hereinafter "Plaintiff"), alleges the following facts and claims for relief against Defendants MERCK & CO., INC. a New Jersey Corporation, MERCK SHARP & DOHME CORP., a New Jersey Corporation, (collectively referred to as "Merck Defendants" or "Merck"), Organon & Co., a Delaware Corporation, and Organon LLC, a Delaware Limited Liability Company (collectively referred to as "Organon Defendants" or "Organon") and DOES 1 through 10, inclusive, (all collectively referred to herein as "Defendants") and requests a trial by jury of all issues and causes of action so triable:

### INTRODUCTION

1. SHERRY WALLACE has developed neuropsychiatric injuries as a result of ingesting Defendants' prescription pharmaceutical product, Singulair®, indicated for: a) prophylactic and chronic treatment of asthma; b) acute prevention of exercise-induced bronchoconstriction (EIB); and c) relief of symptoms of allergic rhinitis.

2. Merck Defendants knew or should have known of the risks of neuropsychiatric injuries prior to the time they began selling Singulair® in 1998. In 1996, Defendant Merck & Co., Inc. filed a patent application for montelukast, the active ingredient in Singulair®, acknowledging montelukast's possible effects on cerebral spasm. Further, montelukast has been tested extensively starting prior to 1998, and continuing through today. Many of these studies have demonstrated a correlation—and some show causation—between Singulair® usage and the development of neuropsychiatric events. Merck Defendants have ignored these studies.

3. Originally, the Singulair® label contained no warnings regarding neuropsychiatric events. Over the past 24 years Merck Defendants have slowly and belatedly added grossly insufficient warnings regarding neuropsychiatric events to the product label. Finally, on March 4, 2020, the Food & Drug Administration (FDA) required Merck Defendants to add a Black Box Warning, the strongest type of warning, to Singulair®'s label, regarding neuropsychiatric events. FDA also required a new Medication Guide.

4. The new Black Box warning provides "serious neuropsychiatric events have been reported in patients taking Singulair®." These include:

agitation, aggressive behavior or hostility, anxiousness, depression, disorientation,

disturbance in attention, dream abnormalities, dysphagia (stuttering), hallucinations, insomnia, irritability, memory impairment, obsessive-compulsive symptoms, restlessness, somnambulism, suicidal thoughts and behavior (including suicide), tic, and tremor...

Psychiatric disorders: agitation including aggressive behavior or hostility, anxiousness, depression, disorientation, dream abnormalities, hallucinations, insomnia, irritability, restlessness, somnambulism, suicidal thinking and behavior (including suicide), tremor [see *Warnings and Precautions* (5.4)].<sup>1</sup>

The new warning goes on to state “the benefits of Singulair® may not outweigh the risks...”.

5. Merck Defendants also modified the drug labeling Section 5.1 to disclose some neuropsychiatric events that were reported after Singulair® discontinuation as well as acknowledge montelukast, the active ingredient in Singulair®, distribution into the brain in rats. In addition, Merck Defendants modified Section 12.3 to remove the word ‘minimal’ from the description of montelukast distribution into the brain.

6. In its March 4, 2020, press release FDA noted that “many patients and health care professionals are not fully aware of these risks.” Further, by requiring the addition of the Black Box warning, the FDA “aims to make sure patients and medical providers have the information available to make informed treatment decisions.”

## PARTIES

7. Plaintiff is a competent individual over the age of 18. Plaintiff is a citizen and resident of Merced County, California. At all times relevant herein, Plaintiff was a citizen and resident of California. At all relevant times herein, Plaintiff was prescribed Singulair® in California. Plaintiff ingested Singulair® in California and sustained injuries therefrom in California.

8. Plaintiff was prescribed Singulair from 2010 to 2021. Many or all of Plaintiff’s prescriptions were filled with branded Singulair. Some may have also been filled with generic Singulair. Plaintiff used Singulair as prescribed. As a direct and proximate result of ingesting

<sup>1</sup> Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., “Full Prescribing Information: Singulair® (montelukast sodium) Tablets, Chewable Tablets, and Oral Granules [US Patent No. 5,565,473],” Reference ID: 3106826 (Whitehouse Station, NJ: Merck & Co., Inc., 1998, revised Mar. 2012): 3-4, § 5.4: Neuropsychiatric Events; 6-7, § 6.2: Post-Marketing Experience. Accessed at [https://www.accessdata.fda.gov/drugsatfda\\_docs/label/2012/021409s0361bl.pdf](https://www.accessdata.fda.gov/drugsatfda_docs/label/2012/021409s0361bl.pdf).

1 Singulair®, Plaintiff suffered neuropsychiatric injury including major depressive disorder,  
2 suicidality, generalized anxiety disorder.

3 9. Plaintiff became symptomatic while using Singulair®.

4 10. Had Plaintiff or the prescriber known that Singulair® could cause Plaintiff to suffer  
5 neuropsychiatric events, the prescriber would not have prescribed Singulair®, Plaintiff would not  
6 have ingested Singulair®. Plaintiff has incurred medical expenses and will continue to incur  
7 expenses in connection with medical treatment as a result of these injuries, which were caused by  
8 Merck Defendants' conduct with respect to Singulair®'s design, labeling, manufacture, marketing,  
9 and sale. Plaintiff has endured and will continue to endure pain, suffering, mental anguish, trauma,  
10 and loss of enjoyment of life as a result of these injuries, have suffered lost earnings and/or a loss  
11 of earning capacity, and other injuries and damages to be proven at trial.

12 11. On information and belief, Merck Defendants are, and at all relevant times herein  
13 were, multi-national pharmaceutical corporations organized under the laws of New Jersey, with their  
14 principal place of business at 2000 Galloping Hill Road, Kenilworth, New Jersey 07033, and doing  
15 business in California. On information and belief, Merck Sharp & Dohme Corp. is, and at all  
16 relevant times was, registered with the California Secretary of State to do business in California.

17 12. Merck Defendants had exclusivity with respect to Singulair® and were the exclusive  
18 manufacturers, distributors, and sellers of Singulair® from 1998 to mid-2012. Merck Defendants  
19 have maintained control of brand name Singulair® at least into 2020 and possibly still maintain  
20 control.

21 13. On information and belief, the Merck Defendants "spun off" Singulair to their  
22 subsidiary, Organon & Co., sometime after the FDA ordered Merck to add the Black Box warning  
23 to Singulair's label. On information and belief, Organon & Co. is, and at all relevant times herein  
24 was, a corporation organized under the laws of Delaware, with a principal place of business at 30  
25 Hudson Street, Floor 33, Jersey City, New Jersey 07302, and doing business in California. On  
26 information and belief, Organon LLC is a subsidiary of Organon & Co. that distributed Singulair to  
27 Californians, including Plaintiff. On information and belief, Organon LLC is, and at all relevant  
28 times herein was, a Delaware limited liability company with a principal place of business at 30



1 Hudson Street, Floor 33, Jersey City, New Jersey 07302. On information and belief, Organon & Co.  
2 and Organon LLC ("Organon") are, and at all relevant times were, registered with the California  
3 Secretary of State to do business in California.

4 14. On information and belief, subject to discovery regarding the relationship of the  
5 Merck Defendants to Organon, either Merck Defendants or Organon may be liable for injuries  
6 caused by Singulair after FDA directed a black box warning to be added to Singulair before it was  
7 added and physicians, pharmacists and patients were appropriately notified.

8 15. Plaintiff is informed and believes, and thereon alleges that, at all relevant times, the  
9 Merck Defendants and after transfer of the Singulair NDAs to it, Organon, conducts and at all  
10 relevant times conducted substantial, continuous business in California.

11 16. The Merck defendants manufactured, marketed and sold millions of Singulair pills,  
12 including the actual Singulair pills that Plaintiff used in California during and prior to 2012.

13 17. Since 2012, the Merck defendants have continued to manufacture, market, and sell  
14 Singulair in California at least into 2020 and either the Merck Defendants or Organon did so after  
15 2020.

16 18. On information and belief, Merck Defendants and/or Organon may have  
17 subsequently manufactured, marketed and sold the actual Singulair pills used by Plaintiff in  
18 California.

19 19. Merck defendants engaged in an extensive campaign to educate physicians in  
20 California about the alleged benefits of Singulair, and further misrepresented the safety of Singulair  
21 to physicians in California during this campaign.

22 20. Merck Defendants engaged in extensive Direct-to-Consumer advertising in  
23 California including print ads in magazines sold in California as well as television advertising on  
24 television channels airing in California.

25 21. Plaintiff is ignorant of the true names and capacities of defendants sued herein as  
26 Does 1 through 10 and therefore sue these defendants by such fictitious names pursuant to California  
27 Code of Civil Procedure section 474. Plaintiff is informed and believes, and upon such information  
28 and belief, alleges, that each of the defendants designated as a Doe are legally responsible in some



1 manner for the events and happenings and caused damages, as alleged herein. Plaintiff will seek  
2 leave of the Court to amend this Complaint to show the true names and capacities of the defendants,  
3 designated as Does, when the same has been ascertained.

4 22. Plaintiff is informed and believes, and on that basis alleges, that at all times  
5 mentioned herein, there existed a unity of interest and ownership among Defendants and each of  
6 them, such that any individuality and separateness between Defendants, and each of them, ceased  
7 to exist. Defendants and each of them, were the successors-in-interest and/or alter egos of the other  
8 Defendants, and each of them, in that they purchased, controlled, dominated and operated each other  
9 without any separate identity, observation of formalities, or other manner of division. To continue  
10 maintaining the façade of a separate and individual existence between and among Defendants, and  
11 each of them, would allow Defendants to perpetrate a fraud and an injustice.

12 23. Plaintiff is informed and believes, and on that basis alleges, that at all times  
13 mentioned herein, Defendants and each of them were the agents, representatives and/or employees  
14 of each and every other Defendant. In doing the things hereinafter alleged, Defendants and each of  
15 them, were acting within the course and scope of said alternative personality, capacity, identity,  
16 agency, representation and/or employment and were within the scope of their authority, whether  
17 actual or apparent. Plaintiff is informed and believes, and on that basis alleges, that at all times  
18 mentioned herein, Defendants and each of them were the trustees, partners, servants, joint venturers,  
19 shareholders, contractors, and/or employees of each and every other Defendant, and the acts and  
20 omissions herein alleged were done by them, acting individually, through such capacity and within  
21 the scope of their authority, and with the permission and consent of each and every other Defendant  
22 and that said conduct was thereafter ratified by each and every other Defendant, and that each of  
23 them is jointly and severally liable to Plaintiff.

#### 24 JURISDICTION AND VENUE

25 24. Venue is proper in this Court because Plaintiff is a citizen and resident of Merced  
26 County, California. During and prior to 2012, Plaintiff purchased and used Merck Defendants'  
27 Singulair in Merced County, and thereafter may have used generic and/or branded Singulair in  
28 Merced County. All of the Singulair ingested in California caused Plaintiff's injuries in that county.

1 Further on information and belief, Defendants made misrepresentations regarding the safety of  
2 Singulair to physicians in Merced County, California.

3 25. The California Superior Court has jurisdiction over all Defendants because, based on  
4 information and belief, each is a corporation and/or entity and/or person organized under the laws  
5 of having its principal place of business in the State of California, a foreign corporation or  
6 association authorized to do business in California and registered with the California Secretary of  
7 State, or that has sufficient minimum contacts in California, is a citizen of California, or otherwise  
8 intentionally avails itself of the California market so as to render the exercise of jurisdiction over it  
9 by the California courts consistent with traditional notions of fair play and substantial justice.

10 26. Further, Defendants have each purposefully availed themselves of the benefits and  
11 protections of the laws within the State of California. Collectively, Defendants conduct substantial,  
12 continuous, and systemic business in California and have had sufficient contact with California such  
13 that the exercise of jurisdiction would be consistent with the traditional notions of fair play and  
14 substantial justice.

### 15 **FACTUAL ALLEGATIONS**

#### 16 **A. Merck's Discovery of Montelukast**

17 27. Merck Defendants discovered the anti-asthmatic properties of montelukast, the  
18 active ingredient in Singulair® and were granted U.S. Patent No. 5,565,473 on October 15, 1996,  
19 which expired on August 3, 2012. FDA first approved Singulair® for clinical use in 1998.

20 28. Singulair® has become a ubiquitous monotherapy treatment as an alternative to, and  
21 as an add-on therapy to inhaled corticosteroids (ICS) such as fluticasone. Approximately 9.3 million  
22 patients received a dispensed montelukast prescription from U.S. outpatient pharmacies in 2018,  
23 with 2.3 million of these being children younger than 17 years.<sup>2</sup>

24 29. Singulair® (montelukast) is a leukotriene receptor antagonist that binds with high  
25

26 <sup>2</sup> U.S. Food and Drug Administration, Drug Safety Communications, *FDA requires Boxed Warning about serious*  
27 *mental health side effects for asthma and allergy drug montelukast (Singulair®); advises restricting use for allergic*  
28 *rhinitis: Risks may include suicidal thoughts or actions*, 3-4-2020 FDA Drug Safety Communication (Mar. 4, 2020)  
(citing IQVIA Total Patient Tracker™, Year 2018. Data extracted June 2019). Accessed at  
<https://www.fda.gov/media/135840/download>.

1 affinity and selectivity to the cysteinyl leukotriene receptor-1 (CysLTR1) in order to prevent this  
 2 receptor from interacting with leukotrienes, which are inflammatory mediators. Such binding  
 3 consequently assists in inhibiting many of the physiological actions elicited by CysLTs at the  
 4 receptor which could have facilitated asthma or allergic rhinitis. As an example, montelukast  
 5 modulates expression of CysLTR1 and CysLTR2 in airway eosinophilic (i.e., high count of white  
 6 blood cells) inflammation of OVA-induced asthmatic mice because the drug functions in bodies as  
 7 a CysLT1 receptor antagonist.<sup>3</sup>

8 30. Cysteinyl leukotrienes (CysLT) are eicosanoids (i.e., signaling molecules) that are  
 9 released by various types of cells, including mast cells and eosinophils, both of which are implicated  
 10 in allergy and anaphylaxis as well as the immune system. When these CysLT bind to their  
 11 corresponding CysLT receptors (e.g., CysLT binding to CysLT1R), they may act to up- or down-  
 12 regulate the receptor and its coordinating effect. For example, CysLT1 binding to CysLT1 receptors  
 13 found on smooth muscle cells in respiratory airways simulates specific cell activities that then  
 14 facilitate the underlying pathophysiology of asthma and allergic rhinitis.

15 31. Facilitating conditions for asthma include CysLT-mediated airway  
 16 bronchoconstriction, vascular permeability, occluding mucous secretion, and eosinophil  
 17 recruitment. In allergic rhinitis, nasal mucosa release CysLTs when exposed to allergens like pollen  
 18 during both early- and late-phase reactions and then participate in eliciting the prototypical  
 19 symptoms of allergic rhinitis like a congested nose and congested airway. Simply put, if allergens  
 20 (e.g., dust and pollen) are the gasoline and CysLTs are the gas pedal that drive the asthma and  
 21 allergies engine, Singulair® hits the brakes.

## 22 **B. Singulair Crosses the Blood-Brain-Barrier and Causes Neuropsychiatric Events.**

### 23 **a. Introduction to the Blood-Brain-Barrier**

24 32. Montelukast crosses the blood-brain barrier (BBB), which is a semi-permeable

25  
 26 <sup>3</sup> Zhang YJ, Zhang L, Wang SB, Shen HH, Wei EQ. Montelukast modulates lung CysLT(1) receptor expression and  
 27 eosinophilic inflammation in asthmatic mice. *Acta Pharmacol Sin.* 2004;25(10):1341-1346 (Finding that montelukast  
 28 inhibited the up-regulation of the CysLT1 receptor in airway eosinophilic inflammation of ovalbumin- induced (i.e.,  
 egg whites) asthmatic mice.

(i.e., partial porous) membrane of endothelial cells (blood and lymphatic vessel lining) that is highly selective in preventing solutes in circulating blood from non-selectively entering the extracellular fluid (e.g., cerebrospinal fluid) and thereby interacting with neurons in the central nervous system (CNS). The CNS influences activity within all of the parts of the body and is constituted primarily by the brain and spinal cord. Neurons function to communicate with other cells via connections called synapses. Neurons are like telephones in that they receive signals and synapses are similar to telephone lines that carry signals.

33. The function of the BBB is to protect the brain from circulating pathogens and thereby render bloodborne brain infections rare. No antibodies, only certain antibiotics, and exceedingly few drugs in general may pass the BBB and thereby have an impact on the CNS.

34. The clinical significance of the BBB is due to its difficulty as a drug target to overcome. Difficulty may be attributed to its 100% exclusion of large-molecule neurotherapeutics and 98% exclusion of all small-molecule drugs (e.g., anti-depressants like Prozac, anxiolytics like Xanax).<sup>4</sup> In terms of size and rough complexity, if a small-molecule druglike aspirin (21 Daltons) were a bicycle (~ 20 lbs), a large-molecule drug or small biologic like human growth hormone (~3,000 Daltons) would be a Toyota Prius (~ 3,000 lbs), and a large biologic like immunoglobulin G antibody (~ 25,000 Daltons) would be an F-16 fighter jet (~ 25,000 lbs without fuel).<sup>5</sup>

35. Small molecules are considered anything less than 900 Daltons. A molecular weight of 400 Daltons or less increases a drug's chances of penetrating the CNS.<sup>9</sup> Montelukast weighs 608.18 Daltons.

<sup>4</sup> See, e.g., Pardridge, William M. "The Blood-Brain Barrier and Neurotherapeutics." *NeuroRx*. 2005 Jan; 2(1): 1—Doi: 10.1602/neurorx.2.1.1; Pardridge. "The Blood-Brain Barrier: Bottleneck in Brain Drug Development." *NeuroRx*. 2005 Jan; 2(1): 3—14. Doi: 10.1602/neurorx.2..3

<sup>5</sup> Deepak Gupta et al. "A CMO Perspective on Quality Challenges for Biopharmaceuticals," *BioProcess Int'l* (Oct. 1, 2013, 9:00 AM), accessed at <http://www.bioprocessintl.com/manufacturing/antibody-non-antibody/a-cmo-perspective-on-quality-challenges-for-biopharmaceuticals-347335>; See also, McNally, Eugene J., and Jayne E. Hastedt. "Development of Drug Products: Similarities and Differences Between Protein Biologics and Small Synthetic Molecules." In *Protein Formulation and Delivery*, 2<sup>nd</sup> ed. Edited by Eugene J. McNally and Jayne E. Hastedt. Drugs and the Pharmaceutical Sciences, Vol. 175 (Boca Raton, FL: CRC PressTaylor & Francis Group, 2008): 327—333, 328—329.

36. Additionally, molecules with less than 8 hydrogen bonds have an increased likelihood of penetrating the BBB. These are weak intermolecular (i.e., between molecules) bonds between a lone pair electron “donor” and an electron “acceptor.” If the “acceptor” is the team, the lone pair “donor” is the person who is getting picked last. Montelukast has 4 hydrogen bond acceptors and 2 hydrogen bond donors. Rendering the drug capable of having only 6 hydrogen bonds. Because of this, montelukast has an inherent increased likelihood of penetrating the BBB.

37. In order to deliver neurotherapeutic drugs to the brain to treat illnesses such as depression, schizophrenia, and obsessive-compulsive disorder, they must be able to cross the BBB. More lipid soluble or lipophilicity molecules are better able to penetrate the CNS.<sup>6</sup> Montelukast has been proven more lipid soluble than its sister class drug, Zafirlukast. In other words, because montelukast “likes” dissolving in fats or oils more than zafirlukast, montelukast is better able to cross the BBB.<sup>7</sup>

38. Because montelukast crosses the BBB, it exerts a systemic effect upon the CNS that results in, among other things, adverse neuropsychiatric events.

#### b. Singulair Crosses the Blood-Brain-Barrier

39. Montelukast crosses the BBB and thereby accumulates in the central nervous system (CNS), which is constituted by the brain and spinal cord. This drug accumulation occurs with both oral and intravenous doses, and in both humans and animals:

Most importantly, in a human subject taking 10 mg per day montelukast, that is, the approved dose to treat asthma, we detected [oral] montelukast in the serum and in the CSF in a similar concentration as in the rats (Supplementary Fig. 1a), suggesting that the standard 10 mg per day dose in humans is sufficient to reach a therapeutic dose in the CSF. In addition, a re-analysis of the original CNS pharmacology data of montelukast<sup>27</sup> indicates a significant BBB penetrance of the drug (Supplementary Fig. 1b). **These data clearly demonstrate that orally administered montelukast does cross the BBB in a therapeutic dose**, and that age-dependent differential BBB integrity does not affect the capacity of montelukast to enter the brain...

<sup>6</sup> E.g., Pardridge, William M., “Drug transport across the blood-brain barrier,” *J Cereb Blood Flow Metab.* 2012 Nov; 32(11): 1959–1972. Published online 2012 Aug 29. doi: 10.1038/jcbfm.2012.126

<sup>7</sup> See Mougey, Edward B.; Hua Feng; Mario Castro, Charles G. Irvin, and John J. Lima, “Absorption of Montelukast is Transporter Mediated: a Common Variant of OATP2B1 is Associated with Reduced Plasma concentrations and Poor Response,” [Author manuscript; available in *PMC* 2010 Feb 1] *Pharmacogenet Genomics*, 2009 Feb; 19(2): 129–138. doi: 10.1097/FPC.0b013e32831bd98c.

Remarkably, montelukast serum levels [following intravenous drug administrations in rats] were almost identical to the maximum plasma concentrations in humans after oral administration of the clinical dose of 10 mg montelukast daily... illustrating that the animals were treated with montelukast in adose that pharmacologically resembles the one that is approved forits use in humans.<sup>8</sup>

40. Studies show that expression of the CysLTR1 (including that bound with montelukast) is not limited to the lungs. Instead, it occurs in different cells in the brain, including microvascular endothelial cells—components of the blood brain barrier. Pre-clinical studies of human and animal model tissue implicate CysLTR1 antagonists (e.g., Singulair®/montelukast, Onon/pranlukast, and Accolate/zafirlukast) as exerting effects upon traumatic brain injuries (TBI), ischemic brain injuries (e.g., stroke, TIA), cold-induced brain injuries, multiple sclerosis, autoimmune encephalomyelitis, Alzheimer's disease, and Parkinson's disease.<sup>9</sup> Activation of CysLTR1 is associated in animals with facilitating pathogen entry into the brain by disrupting the Blood Brain Barrier (BBB).<sup>10</sup> Among these pathogens are HIV-1 and *Escherichia coli*-mediated meningitis.<sup>11</sup> Furthermore, "[i]t has been demonstrated that [Singulair®] could increase the proliferation of neuronal precursor cells in vitro through the receptors CysLT1R and GPR17 [(G protein-coupled receptor 17)]."<sup>12</sup> Accordingly, although "expression of the CysLT1R in the normal human brain is very low/non-existent," montelukast blockades GPR17 and thereby "strongly elevate[s] neural stem and progenitor proliferation."<sup>13</sup> In other words, montelukast affects nerve cell

<sup>8</sup> Marschallinger, J., Schäffner, I., Klein, B. *et al.* Structural and functional rejuvenation of the aged brain by an approved anti-asthmatic drug. *Nat Commun* 6, 8466 (2015), 4, 10. <https://doi.org/10.1038/ncomms9466>. (Emphases added).

<sup>9</sup> Ghosh A, Chen F, Thakur A, Hong H (2016). "Cysteinyl Leukotrienes and Their Receptors: Emerging Therapeutic Targets in Central Nervous System Disorders". *CNS Neuroscience & Therapeutics*. 22 (12): 943-951. doi: 10.1111/cns.12596. PMC 6492851. PMID 27542570.

<sup>10</sup> Bertin J, Jalaguier P, Barat C, et al. Exposure of human astrocytes to leukotriene C4 promotes a CX3CL1/fractalkine-mediated transmigration of HIV-1-infected CD4 + T cells across an in vitro blood-brain barrier model. *Virology* 2014;454-455:128-138.

<sup>11</sup> Zhu L, Maruvada R, Sapirstein A, et al. Arachidonic acid metabolism regulates *Escherichia coli* penetration of the blood-brain barrier. *Infect Immun* 2010;78:4302-4310.

<sup>12</sup> Yohanna Eriksson, Martina Boström, Asa Sandelius Kaj Blennow, Henrik Zetterberg, Georg Kuhn, and Marie Kalm, The anti-asthmatic drug, montelukast, modifies the neurogenic potential in the young healthy and irradiated brain, *Cell Death and Disease* 9:775 (2018), 5. Doi 10.1038/s41419-018-0783-7. (citing Huber, C. et al. Inhibition of leukotriene receptors boosts neural progenitor proliferation. *Cell. Physiol. Biochem*. 28, 793-804 (2011). doi: 10.1159/000335793.).

<sup>13</sup> Sansing-Foster, Veronica V., Ivone E. Kim, Dipti Kalra, Efe Eworuke, Lockwood G. Taylor, Lisa M. Harinstein, and



1 growth by expressing the activity of receptors.

2 41. Singulair® accumulates in the brain at a rate that is higher than its accumulation in  
3 the lungs:

4 Although montelukast was so far always considered as a drug with only limited  
5 CNS penetration, careful re-analysis of the original pharmacokinetic report on  
6 montelukast reveals that one hour after i.v. drug administration, a substantial  
7 amount of radioactive equivalents of [C14] montelukast (~1/10 of the plasma  
8 levels) had reached the brain (Supplementary Fig. 1b). Most remarkably, while in  
9 plasma (and most other organs, for example, lung and muscle) montelukast levels  
10 strongly decreased within 24 h, the amount of montelukast in the brain increased.  
11 As a consequence, **24 h after drug injection, montelukast levels in the brain  
12 were even higher than in plasma** (Supplementary Fig. 1b), suggesting the  
13 existence of an active transport mechanism for montelukast through the BBB.

14 42. Singulair® accumulates in the brain because of its binding affinity to a BBB  
15 transporter:

16 Indeed, montelukast is taken up from the intestine into the blood stream by the  
17 organic anion-transporting polypeptide (OATP)2B1, a transporter that is  
18 expressed also by endothelial cells of brain capillaries. Also, **the majority (99%)  
19 of montelukast in plasma is bound to proteins, mainly albumin, providing a  
20 BBB transport mechanism as albumin has been shown to act as a carrier  
21 through the BBB. The potential of montelukast to enter the CNS is further  
22 strongly supported by our present pharmacokinetic results obtained from rats  
23 (Supplementary Fig. 1a).**

24 43. Pre-clinical data also provide ample evidence of how montelukast enters into the  
25 brain:

26 Strikingly, montelukast was also detected in the CSF in a human asthma patient,  
27 who was on the approved 10 mg per day dose of montelukast, and levels in serum  
28 and CSF were almost identical to the concentrations found in rats treated with  
10 mg kg<sup>-1</sup> montelukast (Supplementary Fig. 1a). **Entry of montelukast into the  
CNS is further supported by the plethora of preclinical data on the effects of  
systemic montelukast treatment on brain structure and function.** In various  
animal models of neurodegenerative diseases, including a model of kainic acid-  
induced loss of memory function, an acute Huntington's disease model of  
quinolinic acid and malonic acid injection-induced degeneration of striatal  
neurons, and a  $\beta$ -amyloid injection model of Alzheimer's disease, treatment with  
montelukast attenuated behavioural deficits, which was accompanied by structural

Monica Munoz, "Neuropsychiatric Events with Use of Montelukast in Pediatric Patients," *FDA Briefing Document: Pediatric Advisory Committee Meeting*, (Sept. 27, 2019), p. 14, § 1.4.4. Accessed at <https://www.fda.gov/media/131035/download>.



1 brain changes such as inhibition of neuroinflammation and reduced neuronal cell  
2 death.<sup>14</sup>

3 44. Animal studies demonstrate that Singulair® administered orally can be found in the  
4 brain and cerebrospinal fluid (CSF) found in the subarachnoid space between the two innermost  
5 (arachnoid mater and pia mater) of three protective membranes covering the brain and spinal  
6 cord:

7 The biologic mechanisms underlying the neuropsychiatric events associated with  
8 montelukast treatment are currently not well understood. However, evidence from  
9 animal studies suggests that montelukast could act directly on cells in the brain.  
10 Orally administered montelukast (10 mg/kg/day, 7 days) was **detectable in brain  
11 tissue and cerebrospinal fluid (CSF)** in rats, providing evidence for its **ability to  
12 cross the blood-brain barrier**.<sup>15</sup>

13 These studies' findings were cited within the FDA's Briefing Document re: Singulair®.<sup>16</sup>  
14 Thus, taking Singulair® results in the accumulation of its active ingredient, montelukast, in brain  
15 tissue and cerebrospinal fluid.

16 **c. Because Singulair® (Montelukast) Crosses the Blood-Brain-Barrier, It Can  
17 and Does Cause Neuropsychiatric Events.**

18 45. The risk of new neuropsychiatric events is greater in pediatric patients who take  
19 Singulair®. "Children with asthma who experienced suicidality (i.e., suicidal thoughts), depression,  
20 tics, tremors, stuttering, agitation, and night terrors. A new-onset neuropsychiatric event [have]

21 <sup>14</sup> Marschallinger (2015), 10 (Emphases added); see also, Zhang WP, Hu H, Zhang L, et al. Expression of cysteinyl  
22 leukotriene receptor 1 in human traumatic brain injury and brain tumors. *Neurosci Lett*. 2004;363(3):247-251; Lenz QF,  
23 et al., *Neuroscience*, 2014). Doi: 10.1016/j.neulet.2004.03.088.

24 <sup>15</sup> Zhao R, Shi WZ, Zhang YM, et al. Montelukast, a cysteinyl leukotriene receptor-1 antagonist, attenuates chronic brain  
25 injury after focal cerebral ischaemia in mice and rats. *J Pharm Pharmacol*. 2011;63(4):550-557; Zhang CT, Lin JR, Wu  
26 F, et al. Montelukast ameliorates streptozotocin-induced cognitive impairment and neurotoxicity in mice.  
27 *Neurotoxicology*. 2016;57:214-222 (Emphasis added). This study was also cited during the FDA hearings regarding  
28 Singulair®. Aladdin, Meena M., Ph.D., Health Researcher, Public Citizen's Health Research Group, "Testimony Before  
the FDA's Pediatric Advisory Committee and Drug Safety and Risk Management Advisory Committee –  
Neuropsychiatric Events with Use of Montelukast in Pediatric Patients," FDA.gov (Sept. 27, 2019). Accessed at  
<https://www.fda.gov/media/131487/download>. (quoting Food and Drug Administration. Guidance for industry:  
Warnings and precautions, contraindications, and boxed warning sections of labeling for human prescription drug and  
biological products — content and format. October 2011.  
<https://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM075096.pdf>.  
Accessed September 26, 2019).

<sup>16</sup> FDA Briefing Document, p. 14, § 1.4.4 (citing Volpe C, Kalra D, A. N. *Pharmacovigilance Review of  
Neuropsychiatric and Churg-Strauss Syndrome* (Feb. 21, 2014); Kalra D, Gatti J, T P. *Pediatric Postmarketing  
Pharmacovigilance and Drug Utilization Review of Montelukast* (September 2, 2014)).

1 nearly twice the odds of having been prescribed montelukast in the year before their event.”<sup>20</sup>  
 2 Furthermore, “children prescribed montelukast for asthma management had nearly twice the odds  
 3 of neuropsychiatric events, compared with those on other asthma maintenance medications.”<sup>21</sup>

4 46. Additionally, a 2016 retrospective analysis of Individual Case Safety Reports  
 5 (ICSRs) recorded up to January 1, 2015, in the World Health Organization’s (WHO) database  
 6 (VigiBase®), pulling from over 20 million reports of global suspected adverse effects of medicines.

7 Their findings were as follows:

8 Neuropsychiatric disorders as side effects of montelukast were more frequently  
 9 reported for children than for adults. Infants and children seem to be more prone to  
 10 sleep disturbances, whereas adolescents present symptoms of depression/anxiety  
 11 and psychotic reactions more often. Suicidal behavior and completed suicide appear  
 12 to be more frequently reported than previously thought in practice...Practitioners  
 13 should be aware of the risk of neuropsychiatric events associated with montelukast  
 14 use, and should advise the patient and report new cases.<sup>17</sup>

15 Thus, the neuropsychiatric dangers posed by Singulair® are much greater for children than  
 16 for adults. Children with new onset neuropsychiatric events are twice as likely to have taken  
 17 Singulair®, and children who are taking Singulair® are twice as likely to have neuropsychiatric  
 18 events when compared with those taking other drugs (e.g., inhaled corticosteroids). This is  
 19 significant because inhaled corticosteroids are known to have “**severe adverse psychological effects**  
 20 **including psychosis**”<sup>18</sup> which can also “manifest in cognitive disorders, behavioral changes, and  
 21 frank psychiatric disease.”<sup>19</sup>

22 47. The risk of neuropsychiatric events associated with taking Singulair® are greater than  
 23 those associated with taking ICS (e.g., albuterol). “In the real-life setting, children initiated on  
 24 montelukast experience **a notable risk of neuropsychiatric ADRs leading to drug cessation**, that

24 <sup>17</sup> Ana Aldea Perona, Mar García-Sáiz, Emilio Sanz-Álvarez. Psychiatric Disorders and Montelukast in Children: A  
 25 Disproportionality Analysis of the VigiBase®. *Drug Safe.* (Springer, 2016: New York, NY) 39:69-78, 69; see 76. Doi:  
 26 10.1007/s40264-015-0360-2. (n = 14,670 ICSRs, 2,630 neuropsychiatric events in people aged <18 years).

26 <sup>18</sup> Glockler-Lauf SD, Finkelstein Y, Zhu JQ, Feldman LY, To T. “Montelukast and Neuropsychiatric Events in Children  
 27 with Asthma: A Nested Case-Control Study. *Journal of Pediatrics.* 2019;209:176-182.e4. doi:  
 28 10.1016/j.jpeds.2019.02.009. (n = 898 NAE, 3,497 matched controls, p = 0.01).

28 <sup>19</sup> Linda B. Drozdowicz and J. Michael Bostwick, “[Review:] Psychiatric Adverse Effects of Pediatric Corticosteroid  
 Use,” *Mayo Clin Proc.* June 2014: 817—834. Doi: <http://dx.doi.org/10.1016/j.mayocp.2014.01.010>.

1 is significantly higher than that associated with [inhaled corticosteroids] ICS.”<sup>20</sup>

2 48. Suicidality (i.e., suicidal thoughts) and suicide are a very real risk of taking  
3 Singulair®. “Suicidal behavior and completed suicide appear to be more frequently reported than  
4 previously thought in practice...Practitioners should be aware of the risk of neuropsychiatric events  
5 associate with montelukast use and should advise the patient and report new cases.” (n = 14,670  
6 Individual Case Safety Reports for montelukast).<sup>21</sup> Additional studies have found,

7 “[M]ontelukast is associated with neuropsychiatric adverse drug reactions such as  
8 depression and aggression [and nightmares in children].”<sup>27</sup> Additionally, “[adverse  
9 drug reactions in published case reports] included agitation, anxiety, depression,  
10 sleep disturbance, hallucinations, **suicidal thinking and suicidality, tremor,**  
11 drowsiness, neuropathies, and seizures.” Further, immune system, induction of  
12 hypersensitivity reactions, and hepatobiliary/pancreatic/uropoietic disorders “**are**  
13 **characterized by severe prognosis (i.e., neurological deficit and fatal**  
14 **hepatotoxicity.**”<sup>22</sup>

15 49. Singulair® causes a decrease in neuronal proliferation (nerve growth) in the  
16 hippocampal neurogenic zone (part of the brain largely involved in things from short-term memory  
17 to long-term memory, and spatial memory). Montelukast can cause “**negative effects both acutely**  
18 **and after 2 weeks of daily administration of montelukast.**”<sup>23</sup> In short, giving Singulair® to  
19 healthy children can delay their nerve growth in the part of the brain that is most important to short-  
20 term memory, long-term memory, and spatial memory. Furthermore, alterations in the hippocampus  
21 have been linked to a variety of cognitive pathologies such as anxiety, depression, addiction and  
22 neurodegenerative diseases such as Parkinson’s.<sup>24</sup>

20 <sup>20</sup> Benard B, Bastien V, Vinet B, Yang R, Krajnovic M, Ducharme FM. “Neuropsychiatric adverse drug reactions in  
21 children initiated on montelukast in real-life practice.” *Eur Respir J*. 2017 Aug 17;50(2). Doi: 10.1183/13993003.00148-  
2017. Print 2017 Aug. (n = 12; ci = 95%) (Cited by 5 other articles) (Emphasis added).

22 <sup>21</sup> Aldea Perona A, García-Sáiz M, Sanz Álvarez E. “Psychiatric Disorders and Montelukast in Children: A  
23 Disproportionality Analysis of the VigiBase (®).” *Drug Saf*. 2016 Jan;39(1):69-78. Doi: 10.1007/s40264-015-0360-2.  
24 (Cited by 8 other articles) (Emphasis added); See Aladdin, Menna M. “Testimony Before the FDA’s Pediatric Advisory  
25 Committee and Drug Safety and Risk Management Advisory Committee – Neuropsychiatric Events with Use of  
26 Montelukast in Pediatric Patients.” Sept 27, 2019. Accessed at <https://www.fda.gov/media/131487/download>.

25 <sup>22</sup> Calapai G, Casciaro M, Miroddi M, Calapai F, Navarra M, Gangemi S. “Montelukast-induced adverse drug reactions:  
26 a review of case reports in the literature.” *Pharmacology*. 2014;94(1-2):60-70. Doi: 10.1159/000366164. (Emphasis  
27 added).

26 <sup>23</sup> *Id* at 6.

27 <sup>24</sup> See 5. Sapolsky R. M., “Glucocorticoids and hippocampal atrophy in neuropsychiatric disorders,” *Arch Gen*  
28 *Psychiatry*. 2000;57:925–935. Doi: 10.1001/archpsyc.57.10.925.

**C. Defendants Knew the Risks of Neuropsychiatric Events but Failed to Warn**

**Prescribers, Parents or Patients of the Risks, and Even Misrepresented the Safety of Singulair.**

50. When Singulair was originally approved by the FDA, it had no warnings regarding neuropsychiatric events.

51. Merck knew that Singulair crosses the blood-brain-barrier from pre-clinical trials, conducted prior to original approval.

52. Specifically, Merck Defendants misled the FDA with purpose and intent in its original New Drug Application (NDA) 20.829 and 20.830 which were used to obtain FDA approval for Singulair® 5mg intravenous dosing. The footnotes to Table 4 of said NDAs state “only trace amounts were detected in the brain” and “radioactivity in all tissues declined with time, and the remaining radioactive equivalents in tissues were very low at 24 hour post dose”. However, Table 4 in fact demonstrates the amount of radiolabeled drug in the brain increased over time and when looked at as a ratio of brain:plasma, 0.041:0.142, **the 24 hour interval the level in the brain is 3.46 times or 346% greater than in the plasma.** Furthermore, from 1 hour post administration to the 24 hour interval the radioactive level of drug in the **plasma decreased by 96.64%**, whereas the radioactive level of drug in the **brain increased by 21.36% if you are just looking at volume in each specific tissue and not a ratio of brain: plasma.** Despite this data being statistically significant, Merck Defendants neglected to study the effects on the brain in clinical trials and misled the FDA in the way they reported their data.<sup>25</sup>

53. Two years before it was permitted to sell Singulair® in the United States, Defendant Merck obtained a patent for montelukast. In the patent application, *Defendant Merck claimed that montelukast is “useful in treating ...cerebral spasm,”*<sup>26</sup> admitting that at least by 1996, Merck Defendants knew montelukast could affect the brain. Nonetheless, the Singulair® label from the

<sup>25</sup> Merck, “Table 4: Radioactive Equivalents (ug/g or ug/ml) of <sup>14</sup>C[Montelukast in the Tissues of Rats Receiving 5 mg/kg i.v. (Mean ± SD; n=3) [Sponsors Table 17 Ref. G-1 Vol. 29 pp. G-65]” [brackets original], *NDA 20.829 and 20.830*, 13.

<sup>26</sup> U.S. Patent No. 5,565,473

1 day Merck Defendants began sales in 1998 contained no warning of Singulair®'s possible effect on  
2 the brain, let alone of neuropsychiatric events.

3 54. Two and a half years after approval, on August 2, 2001, the "Post-Marketing  
4 Experience" section of Singulair's label was changed to state that "dream abnormalities and  
5 hallucinations, drowsiness, irritability, agitation including aggressive behavior, restlessness [and]  
6 insomnia" have been observed.

7 55. This label change should have occurred earlier through the Changes Being Effectuated  
8 ("CBE") Process. Merck had Newly Acquired Information ("NAI"), which would have permitted  
9 Merck to make this label change under the CBE.

10 56. Specifically, Merck should have conducted new analysis of clinical and preclinical  
11 testing data. The NAI would have been derived from the new analysis.

12 57. On June 23, 2004, the term "insomnia" was changed to "trouble sleeping." Merck  
13 made this change using the "Changes Being Effectuated" process.

14 58. Just five days after Merck changed "insomnia" to "trouble sleeping," Merck  
15 overhauled Singulair's label using CBE.

16 59. "Psychomotor hyperactivity" was added to the overdose section of the label on June  
17 27, 2005.

18 60. The 2005 revision should have been made earlier through the CBE process through  
19 reanalysis of existing preclinical and clinical data.

20 61. Through the CBE process, Merck added the term "suicide" and replaced  
21 "psychomotor hyperactivity" with the "anxiousness" in the "Post-Marketing Experience"  
22 subsection of the Package Insert and the "Less Common Side Effects" section of the patient package  
23 insert.

24 62. The NAI information that enabled Merck to add the term "suicide" on information  
25 and belief, would have also enabled Merck to add the term "suicidality," which should have been  
26 added and explained.

27 63. This should have triggered Merck to engage in reanalysis of the data already  
28 submitted to the FDA or conduct additional tests to determine the extent of the dangers of

1 neuropsychiatric injuries. Upon information and belief, Merck did not conduct a reanalysis or  
2 additional testing.

3 64. When Merck added the terms “suicide” and “anxiousness” through the CBE, Merck  
4 should have made the warnings regarding those injuries stronger.

5 65. On August 19, 2009, FDA approved revisions to the PRECAUTIONS and  
6 ADVERSE REACTIONS sections of the label.

7 66. These revisions should have been made earlier and when made should have been  
8 more pronounced based on information Merck knew or should have known from reanalysis of  
9 preclinical and clinical trials.

10 67. These revisions should have been more strongly worded based on the increasing  
11 body of publicly available scientific literature regarding montelukast.

12 68. These revisions should have been more strongly worded based on post-marketing  
13 surveillance information available to the Merck Defendants.

14 69. Merck used the CBE to add the term “disorientation” to the “Warnings, Precautions  
15 and Adverse Events” section of the label on April 14, 2010.

16 70. Again, using CBE Merck added the term “tic” to the “Post-Marketing Experience”  
17 and “Neuropsychiatric Events” sections of the Prescribing Information. On the same date, using the  
18 CBE, Merck added “uncontrolled muscle movements” to the Patient Information Leaflet.

19 71. These revisions should have been more strongly worded, more pronounced, and  
20 should have been made sooner based on all the “newly acquired information” available to Merck,  
21 including but not limited to reanalysis of clinical and preclinical studies, publicly available articles,  
22 and post-marketing information.

23 72. In June 2018, through CBE, Merck added the term “obsessive-compulsive  
24 symptoms” to several sections of the label.

25 73. On information and belief, using the CBE process, Merck should have strengthened  
26 all of the neuropsychiatric warnings, including those involving obsessive-compulsive symptoms  
27 prior to that time.

28 74. “Dysphemia (stutteting)” was added to the Singulair label on February 13, 2019,



1 through CBE.

2 75. In November 2017, several patient advisory groups petitioned the FDA to strengthen  
3 Singulair's warnings with regard to neuropsychiatric events.

4 76. On September 27, 2019, the Pediatric Advisory Committee and the Drug Safety and  
5 Risk Management Advisory Committee of the FDA held a hearing to discuss the patient advisory  
6 groups' requests.

7 77. Following that hearing, the FDA required the Merck defendants to add a black box  
8 warning to the Singulair label.

9 78. Merck Defendants and/or Organon revised the label to include the black box  
10 warning.

11 79. These revisions should have been made much sooner based on adverse event and  
12 other post-marketing information as well as reanalysis of existing studies and scientific literature.

13 80. All of these revisions should have been made earlier and when made should have  
14 been more pronounced and should have included stronger language based on information Merck  
15 knew or should have known from reanalysis of preclinical and clinical trials.

16 81. All of these revisions should have been made earlier and when made should have  
17 been more pronounced and should have included stronger language based on information Merck  
18 knew or should have known from the increasing body of publicly available articles regarding  
19 montelukast.

20 82. All of these revisions should have been made earlier and when made should have  
21 been more pronounced and should have included stronger language based on information Merck  
22 knew or should have known from post-marketing surveillance information available to the Merck  
23 Defendants.

24 83. For example, by 2015, over a quarter of the adverse events reported to the FDA  
25 included neuropsychiatric adverse events, including serious adverse events, such as homicidal and  
26 suicidal ideation, psychosis, and hallucinations, totaling thousands of such reports and over 25% of  
27 the total adverse events reported. Furthermore, the United States General Accounting Office has  
28 testified before Congress that, "Experts believe that FDA's [Adverse Event Reporting System



1 (AERS) system [only] includes an estimated 1 to 10 percent of adverse reactions.”

2 84. Indeed, every year since Singulair®’s launch in 1998, neuropsychiatric adverse event  
3 reports involving children two months to 17 years of age have been filed with the FDA in connection  
4 with Singulair®. In 1998 alone, 10 neuropsychiatric adverse events involving children were  
5 reported. In 1999, an additional 83 adverse events were reported. Sixty-six more children using  
6 Singulair® suffered neuropsychiatric adverse events in 2000. By 2020, a total of 3,135 children  
7 suffered such events, as reported to the FDA, including 242 children under 24 months of age.  
8 Furthermore, the United States General Accounting Office has testified before Congress that,  
9 “Experts believe that FDA’s [Adverse Event Reporting System (AERS) system [only] includes  
10 an estimated 1 to 10 percent of adverse reactions.”<sup>27</sup>

11 85. Plaintiff would not have used Singulair if he knew the risks of neuropsychiatric  
12 events.

13 **D. The NDA Holder (aka “Brand”) is Responsible for Label Revisions. The ANDA**  
14 **Holders (aka “Generics”) Must Make the Label on Generic Drugs Substantially**  
15 **the Same as the Brand.**<sup>28</sup>

16 86. In August 2012, Merck’s United States patent expired for Singulair. Immediately  
17 thereafter, the FDA approved a number of generic forms of Singulair for sale in the United States.  
18 Notwithstanding the availability of generic forms of Singulair Merck has continued to manufacture,  
19 distribute, and market Singulair in its brand-named form throughout the United States, including in  
20 California.

21 87. As the brand-name manufacturer of Singulair, Defendants had and have a duty to  
22 maintain the accuracy and adequacy of the label for Singulair for as long as the drug is on the market.  
23 As the brand-name manufacturer of Singulair, Defendants were not only in the best position to warn  
24

25 <sup>27</sup> Janet Heinrich (Assoc. Dir. Health Fin. And Pub. Health Issues, Health and Human Serv. Div.), “Adverse Drug  
26 Events: Substantial Problem but Magnitude Uncertain [GAO/T-HEHS-00-53],” *Testimony: Before the Committee on  
Health, Education, Labor, and Pensions, U.S. Senate* (United States General Accounting Office: Tues Feb. 1, 2000), 6.  
27 Accessed at <https://www.gao.gov/new.items/he00053t.pdf>.

28 <sup>28</sup> “Defendants” in this section refer to the Merck Defendants at least until 2020, and thereafter if the Merck  
Defendants continued to hold the NDA. If the NDA was transferred to Organon in 2020, these allegations apply to  
the Merck Defendants up to the time of transfer and to Organon thereafter.

1 of Singulair's harmful effects, but were also the only manufacturers with the unilateral authority  
2 under federal law to issue such a warning.

3 88. Generic manufacturers for the bioequivalent of Singulair have only the duty to ensure  
4 their labels for generic bioequivalent to Singulair are the same as the label used by the brand name  
5 manufacturer, here, Defendants. Indeed, such sameness is required. As such, Defendants exercised  
6 complete control over the contents of the generic drug label attached to any generic Singulair  
7 Plaintiff may have used.

8 89. As a result, Defendants knew that any deficiencies in the label for Singulair would  
9 be perpetuated in the label of its generic bioequivalent. Accordingly, it is foreseeable that the  
10 warnings included or omitted on the brand-name drug label would influence dispensing of the  
11 generic drug.

12 90. As the brand name manufacturer of Singulair, Defendants had and have a duty to  
13 update its warning label "as soon as there is a reasonable evidence of an association of a serious  
14 hazard with a drug; a causal relationship need not have been proved." 21 C.F.R. § 201.80(e).

15 91. As the brand name manufacturer of Singulair, Defendants could have, at any time,  
16 unilaterally updated the Singulair label without waiting for FDA preapproval in order to "add or  
17 strengthen a contraindication, warning, precaution, or adverse reaction" under the "changes being  
18 effected" regulation. 21 C.F.R. § 314.70(c)(6)(iii)(A). As the brand name manufacturer of Singulair,  
19 a part of Defendants' business was to give information about Singulair to the public and medical  
20 community upon which the safety of patients like Plaintiff, depend.

21 92. Insurance is available to a brand name manufacturer, like Defendants, to insure  
22 against liability arising from their failure to adequately warn of the risks associated with  
23 Singulair/Montelukast that Defendants knew or reasonably should have known.

## 24 TOLLING STATUTES OF LIMITATIONS

### 25 Discovery-Rule Tolling

26 93. Within the period of any applicable statute of limitations, Plaintiff could not have  
27 discovered through the exercise of reasonable diligence that Singulair® caused a significantly  
28 increased risk of adverse neuropsychiatric events.

1           94. Plaintiff did not discover, and did not know of, facts that would have caused a  
2 reasonable person to suspect that his injuries were caused by Defendants' concealment and  
3 suppression of the fact that individuals who ingested Singulair® were at significantly increased risk  
4 of developing neuropsychiatric events.

5           95. Plaintiff could not have reasonably discovered the true extent of Defendants'  
6 deception or suppression about Singulair®'s safety until the FDA required the Boxed Warning about  
7 the serious mental health side effects for Singulair® and the advisement on the restriction of use of  
8 Singulair®.

9           96. For these reasons, all applicable statutes of limitations have been tolled by operation  
10 of the discovery rule.

11           **A. Estoppel**

12           97. Defendants were under a continuous duty to adequately disclose to and inform  
13 Plaintiff of the risk of developing neuropsychiatric events with Singulair®.

14           98. Defendants knowingly, affirmatively, and actively concealed, suppressed, ignored,  
15 or recklessly disregarded the true risks of developing neuropsychiatric events associated with  
16 Singulair® and never updated the drug's label to adequately disclose this risk.

17           99. Based on the foregoing, Defendants are estopped from relying on any statutes of  
18 limitations in defense of this action.

19           **B. Continuing Tort**

20           100. The continuing tort doctrine applies when there is a repeated or continuous injury  
21 and the tort is not completed until the last injury is inflicted or the wrongdoing ceases. In cases of  
22 continuing torts, the statutes of limitations do not begin to run until the date of the last tortious act.

23           101. Plaintiff used Singulair® over extended periods. Each time Plaintiff ingested  
24 Singulair®, it constituted a continuing tort.

25           102. The time period associated with Plaintiff's statute of limitations did not begin to run  
26 until, at the earliest, Plaintiff's last use of Singulair®.

**CAUSES OF ACTION**

**FIRST CAUSE OF ACTION**

**STRICT LIABILITY - DESIGN DEFECT**

**(Against Merck Defendants and DOES 1-10, Inclusive)**

103. Plaintiff incorporates by reference each preceding and succeeding paragraphs as though set forth fully at length herein.

104. At all times relevant, Defendants tested, developed, designed, labeled, manufactured, marketed, sold, distributed, advertised, and promoted Singulair®.

105. Singulair® is defective because it causes neuropsychiatric events. The risk of neuropsychiatric events from Singulair® ingestion, including but not limited to (a) agitation, aggressive behavior, or hostility; (b) attention problems; (c) bad or vivid dreams; (d) depression; (e) disorientation or confusion; (f) feeling anxious; (g) hallucinations (seeing or hearing things that are not really there); (h) irritability; (i) memory problems; (j) obsessive-compulsive symptoms; (k) restlessness; (l) somnambulism (sleepwalking); (m) stuttering; (n) suicidal thoughts (suicidality) and actions; (o) tremor or shakiness; (p) trouble sleeping; and (q) uncontrolled muscle movements (tics) were actually known to and foreseeable to Merck Defendants at all times during the period which they manufactured and sold Singulair®.

106. The risks of neuropsychiatric injuries posed by Singulair® were reasonably foreseeable to Defendants.

107. The Singulair® used by Plaintiff was defectively designed in that (1) its risks outweighed its utility, and (2) safer, feasible alternative designs are and were at all times available. These safer alternative designs include (a) modifying montelukast itself to make it less likely to pass the BBB, (b) modifying Singulair® without modifying montelukast to make it less likely that montelukast would pass the BBB, (c) modifying Singulair®'s dosing regimen to enable prescribers to prescribe the lowest therapeutic dose, (d) modifying Singulair®'s instructions to enable prescribers to prescribe the lowest therapeutic dose, and instruct patients to take Singulair® when it is less likely to cross the BBB.

108. As further described above, the scientific community expressed concern about

1 the propensity of montelukast to cause an increased risk of neuropsychiatric events when ingested.  
2 From the time of Singulair®'s launch until the present day, various scientific literature, as further  
3 discussed above, has expressed concerns about an increased risk of adverse neuropsychiatric events  
4 in patients who ingest Singulair® (montelukast). Plaintiff was unaware of this scientific literature,  
5 but Defendants were aware of it.

6 109. The risk of Singulair® outweighs its benefits.

7 110. Specifically, the benefit of Singulair® is, at best, the treatment and/or prevention of  
8 asthma and hay fever symptoms. This benefit is significantly outweighed by the risks posed by  
9 Singulair®--the risk of permanent neuropsychiatric effects, and possibly even death.

10 111. Plaintiff and Plaintiff's prescriber had many alternatives, including other leukotriene  
11 receptor antagonists, inhaled corticosteroids, antihistamines, and a host of other pharmaceutical and  
12 non-pharmaceutical options to Singulair®. No reasonable consumer would select, from a slew of  
13 equally effective, or possibly more effective products, the one that causes neuropsychiatric effects.

14 112. Additionally or in the alternative, there are feasible alternative designs to Singulair®.

15 113. Singulair® is and at all times was defective, unreasonably dangerous, and unsafe for  
16 its intended purpose because, when ingested, it causes an increased risk of adverse neuropsychiatric  
17 events.

18 114. The risks of Singulair® causing neuropsychiatric injuries exist in part because  
19 montelukast crosses the blood-brain-barrier.

20 115. Defendants could have modified Singulair® in such a manner that it would not pass  
21 the blood-brain-barrier unabated.

22 116. They could have done this by changing the chemical montelukast itself.

23 117. Defendants had options, like modifying montelukast to be less lipid-soluble or  
24 modification of the hydrogen bonds to make passing the BBB more difficult.

25 118. This alternative design is feasible. Any of the three occasions when Merck  
26 Defendants requested approval of a new NDA, they could have presented this alternative to FDA.

27 119. Defendants also had options to modify Singulair® without modifying montelukast.

28 120. For example, Defendants could have added a faster acting anti-inflammatory to make

1 the BBB less permeable, or could have made an extended release tablet to decrease the amount of  
2 montelukast assaulting the BBB at any one time.

3 121. This alternative is feasible. Many drugs include two otherwise approved active  
4 ingredients, one of which is frequently anti-inflammatory. Further, the capsules of approved drugs  
5 are often designed for extended release.

6 122. Additionally, the dosing regimen of Singulair® was defectively designed.

7 123. Defendants could have created dosing options that would have allowed prescribers  
8 to prescribe the lowest therapeutic dose, but did not do so.

9 124. Singulair® is available in three “sizes”: 4mg, 5mg, and 10mg. In other words,  
10 Merck’s testing revealed that there is some significant difference in as small as one mg increments,  
11 yet it did not provide a one mg option. Even though Merck is aware of some significant difference  
12 in adding just one mg to a patient’s dose (as in increasing from 4mg to 5mg), Merck made it  
13 impossible for a prescriber to increase a patient’s dosage by just one mg in most circumstances. For  
14 example, if a prescriber wants to increase a dose from 5mg, the prescriber must double the dose to  
15 10mg.

16 125. Simply creating additional dosing options is a feasible alternative design, as  
17 evidenced by the fact that Merck already created three doses. There is no reason to believe a fourth  
18 dosing option would be infeasible.

19 126. Furthermore, the instructions to prescribers were designed deficiently.

20 127. For example, Merck instructed prescribers to consider only a person’s age when  
21 determining dosage, disregarding entirely weight, stage of development, severity of symptoms, and  
22 any other consideration.

23 128. Instructing prescribers to consider severity of symptoms and weight is the norm. It  
24 is feasible for Singulair®’s dosing regimen to fit the norm.

25 129. By way of another example, Merck also instructs prescribers to have patients ingest  
26 Singulair® before bed, even though the BBB is more susceptible when a person is sleeping.

27 130. Modifying instructions is feasible.

28 131. Thus, at the time Singulair® left Defendants’ control, there were practical,

1 technically feasible, and safer alternative designs, that would have prevented the harm without  
2 substantially impairing the reasonably anticipated or intended function of Defendants' medications  
3 for asthma and allergic rhinitis.

4 132. Defendants' omission of any alternative designs renders Singulair® not reasonably  
5 safe.

6 133. Singulair®'s design defects existed at the time Singulair® left Defendants'  
7 possession and control.

8 134. Singulair® reached the intended consumers, handlers, and users throughout the  
9 United States, including Plaintiff, without substantial change in its condition as designed,  
10 manufactured, sold, distributed, labeled, and marketed by Defendants.

11 135. Plaintiff ingested Singulair® for an approved purpose and experienced  
12 neuropsychiatric injuries as a result.

13 136. Plaintiff ingested Singulair® without adequate knowledge of Singulair®'s  
14 dangerous characteristics.

15 137. At all times relevant, Plaintiff used Singulair® in an intended or reasonably  
16 foreseeable manner without knowledge of Singulair®'s dangerous characteristics.

17 138. Plaintiff could not have reasonably discovered the defects and risks associated with  
18 Singulair® or montelukast-containing products before or at the time of ingestion and use as a result  
19 of Defendants' suppression of, failure to obtain, or failure to provide scientific information linking  
20 montelukast to neuropsychiatric events.

21 139. The defects in Singulair® were substantial and contributing factors in causing  
22 Plaintiff's injuries, harms, losses, and damages and, but for Defendants' misconduct and omissions,  
23 Plaintiff would not have sustained injuries, harms, losses, and damages.

24 140. Had Plaintiff known of the defects in Singulair®, Plaintiff would not have taken  
25 Singulair®. Instead, Plaintiff would have taken a safer alternative to Singulair® that would not have  
26 exposed Plaintiff to neuropsychiatric events.

27 141. Plaintiff's injuries, harms, losses, and damages were directly and proximately caused  
28 by Singulair® and Singulair®'s defect while Plaintiff purchased and used Singulair® in a



1 reasonably foreseeable manner for which recovery is sought.

2 142. The benefits of Singulair®'s design are outweighed by the design's inherent risk of  
3 danger in causing neuropsychiatric events.

4 143. Defendants knowingly designed Singulair® with the design defect that causes  
5 Singulair® to cause an increased risk of neuropsychiatric events when ingested to maximize profits.

6 144. Defendants are therefore strictly liable for the damages caused to Plaintiff.

7 **SECOND CAUSE OF ACTION**

8 **STRICT LIABILITY - FAILURE TO WARN**

9 **(Against Merck Defendants, Organon and DOES 1-10, Inclusive)**

10 145. Plaintiff incorporates by reference each preceding and succeeding paragraph as  
11 though set forth fully at length herein.

12 146. "Defendants" in this cause of action refers to Merck while it held the NDA and if it  
13 did so, to Organon during the period it held the NDA.

14 147. Defendants tested, developed, designed, labeled, manufactured, marketed, sold,  
15 distributed, advertised, and promoted Singulair® during the periods set forth above.

16 148. At all times relevant, Defendants had a duty to properly test, develop, design,  
17 manufacture, inspect, package, label, market, promote, sell, distribute, maintain, supply, provide  
18 proper warnings, and take such steps as necessary to ensure Singulair® did not cause users and  
19 consumers to suffer from unreasonable and dangerous risks.

20 149. At all times relevant, Defendants had a continuing duty to warn Plaintiff and  
21 Plaintiff's prescribers of the dangers associated with Singulair® use.

22 150. At all times relevant, Defendants could have provided adequate warnings or  
23 instructions regarding the full and complete risks of Singulair® and its active ingredient montelukast  
24 because Defendants knew or should have known of the unreasonable risks of harm associated with  
25 the use of Singulair® and montelukast. Such warnings could have been adequately disclosed in  
26 circumstances not limited to Singulair®'s labeling.

27 151. At all times relevant, Defendants failed to investigate, study, test, or promote the  
28 safety or to minimize the dangers to users and consumers of Singulair® and to those who would

1 foreseeably prescribe, use, or be harmed by Singulair®, including Plaintiff.

2 152. Despite the fact that Defendants knew or should have known that Singulair® posed  
3 a grave risk of harm, they failed to exercise reasonable care to warn of the dangerous risks  
4 associated with its use. The dangerous propensities of Singulair® and its active ingredient,  
5 montelukast, as described above were either known to Defendants or scientifically knowable to  
6 Defendants through appropriate research and testing by known methods at the time Defendants  
7 distributed, supplied, or sold Singulair® and not adequately known to prescribing healthcare  
8 providers and end users and consumers, such as Plaintiff.

9 153. Defendants knew or should have known that Singulair® created significant risks of  
10 serious bodily harm to consumers, as alleged herein, and Defendants failed to adequately warn  
11 prescribing healthcare providers and consumers, i.e., the reasonably foreseeable users, of the risks  
12 of ingesting Singulair®. Upon information and belief, Defendants wrongfully concealed or  
13 suppressed information concerning the dangerous nature of Singulair® and its active ingredient,  
14 montelukast, and further made false and/or misleading statements concerning the safety of  
15 Singulair® and montelukast.

16 154. Singulair® is and at all times was defective and not reasonably fit, suitable, or safe  
17 for its intended purpose because Defendants designed Singulair® in a defective manner and failed  
18 to give adequate warnings or instructions at the time Singulair® left Defendants' control and after.

19 155. Defendants failed to provide adequate warnings of the dangers regarding the fact that  
20 Singulair® caused an increased risk of adverse neuropsychiatric events in individuals who ingested  
21 Singulair®.

22 156. Defendants failed to provide adequate warnings of the dangers regarding the fact that  
23 Singulair® ingestion increased the risk suffering from neuropsychiatric events, including but not  
24 limited to (a) agitation, aggressive behavior, or hostility; (b) attention problems; (c) bad or vivid  
25 dreams; (d) depression; (e) disorientation or confusion; (f) feeling anxious; (g) hallucinations  
26 (seeing or hearing things that are not really there); (h) irritability; (i) memory problems; (j)  
27 obsessive-compulsive symptoms; (k) restlessness; (l) somnambulism (sleepwalking); (m)  
28 stuttering; (n) suicidal thoughts (suicidality) and actions; (o) tremor or shakiness; (p) trouble

1 sleeping; and (q) uncontrolled muscle movements (tics).

2 157. Singulair®'s failure-to-warn defects existed at the time Singulair® left Defendants'  
3 control.

4 158. Defendants distributed Singulair® without sufficient warnings to notify Plaintiff's  
5 prescriber or Plaintiff of the dangers inherent in ingesting Singulair®.

6 159. Defendants knew or should have known that most physicians who prescribed  
7 Singulair® did not know or fully appreciate the seriousness of the risks associated with Singulair®  
8 or montelukast.

9 160. Plaintiff ingested Singulair® for an approved purpose and experienced  
10 neuropsychiatric events as a result of his Singulair® use.

11 161. Defendants knew or should have known that the minimal warnings disseminated  
12 with Singulair® were inadequate, failed to communicate adequate information on the dangers and  
13 safe use of Singulair®, and failed to communicate warnings and instructions that were appropriate  
14 and adequate to render the product safe for its ordinary, intended, and reasonably foreseeable uses.

15 162. Had Plaintiff or Plaintiff's physician known of the defects in Singulair®, Plaintiff  
16 would have been prescribed and would have ingested a safer alternative to Singulair® that would  
17 not have exposed him to increased risks of suffering neuropsychiatric events.

18 163. Plaintiff ingested Singulair® without knowledge of its dangerous characteristics.

19 164. Plaintiff's injuries, harms, losses, and damages were directly and proximately caused  
20 by Singulair®, including the lack, insufficiency, or adequacy of warning of Singulair®'s  
21 unreasonable dangers as set forth above while Plaintiff used Singulair® in a reasonably foreseeable  
22 manner for which recovery is sought.

23 165. Defendants had a duty to properly warn Plaintiff and Plaintiff's physician of the risks  
24 of Singulair® during the time when Plaintiff's prescriptions were being filled with Defendants'  
25 Singulair®. Defendants' breach of this duty proximately caused the injuries described herein.

26 166. Defendants' misrepresentations proximately caused Plaintiff's injuries.

27 167. Defendants are therefore strictly liable for the damages caused to Plaintiff.

28 168. Defendants' conduct, as described above, is also oppressive and malicious.

Defendants regularly risked the health and lives of consumers and users of Singulair®, including Plaintiff, with knowledge of Singulair®'s dangers. Defendants have made conscious decisions not to voluntarily sell Singulair without re-design, re-labeling, adequately warning, or adequately informing physicians and the public, including Plaintiff of the increased risk of developing neuropsychiatric events when ingesting Singulair®. Defendants are guilty of oppression, in that their conscious disregard for Plaintiff's rights subjected Plaintiff to cruel and unjust hardship of suffering neuropsychiatric injury, as described above. Further, Defendants are guilty of malice because their despicable conduct was willful or done with conscious disregard of the rights and safety of consumers, including Plaintiff. Defendants' misconduct therefore warrants an award of exemplary damages.

### THIRD CAUSE OF ACTION

#### NEGLIGENCE

(Against Merck Defendants, Organon and DOES 1-10, Inclusive)

169. Plaintiff incorporates by reference each preceding and succeeding paragraph as though set forth fully at length herein.

170. "Defendants" in this cause of action refers to Merck while it held the NDA and if it did so, to Organon during the period it held the NDA.

171. At all times relevant, Defendants had a duty to exercise reasonable care in the design, research, manufacture, marketing, advertisement, supply, promotion, packaging, sale, and distribution of Singulair®, including the duty to take all reasonable steps necessary to manufacture, promote, advertise, and/or sell a medication that was not unreasonably dangerous to consumers and users of the medication.

172. At all times relevant, Defendants had a duty to exercise reasonable care in the marketing, advertisement, and sale of Singulair®. Defendants' duty of care owed to consumers and the general public included providing accurate, true, and correct information concerning the risks of using Singulair® and appropriate, complete, adequate, and accurate warnings concerning the potential adverse effects of ingestion of Singulair® and its active ingredient, montelukast.

173. At all times relevant, Defendants knew or, in the exercise of reasonable care, should

1 have known of the hazards and dangers of Singulair® and specifically its increased risk of  
2 neuropsychiatric events when ingested.

3 174. Accordingly, at all times relevant, Defendants knew or, in the exercise of reasonable  
4 care, should have known that use of Singulair® could cause or be associated with Plaintiff's injuries,  
5 and thus, created a dangerous and unreasonable risk of injury to the users of Singulair®, including  
6 Plaintiff.

7 175. Defendants also knew or, in the exercise of reasonable care, should have known that  
8 users and consumers of Singulair® and their prescribing physicians and healthcare providers were  
9 unaware of or did not know or fully appreciate the seriousness and magnitude of the risks associated  
10 with use of Singulair® and montelukast.

11 176. Defendants breached their duty of reasonable care and failed to exercise ordinary  
12 care in the design, research, development, manufacture, testing, marketing, supply, promotion,  
13 advertisement, packaging, sale, and distribution of Singulair® in that Defendants manufactured  
14 but and produced a medication containing montelukast, knew or had reason to know of the defects  
15 inherent in Singulair® or had reason to know that a user's or consumer's ingestion of Singulair®  
16 created a significant risk of harm and unreasonably dangerous side effects, and failed to prevent or  
17 adequately warn of these risks and injuries.

18 177. Defendants were negligent in their promotion of Singulair® by failing to adequately  
19 disclose material risk information as part of their promotion and marketing of Singulair®, including  
20 the internet, television, and print advertisements. Nothing prevented Defendants from being honest  
21 in their promotional activities, and in fact, Defendants had a duty to disclose the truth about the risks  
22 associated with Singulair® in their promotional efforts outside of the of the context of labeling.

23 178. Defendants had and have the ability and means to investigate, study, and test their  
24 products and to provide adequate warnings, and Defendants failed to do so. Upon information and  
25 belief, Defendants have wrongfully concealed information and have further made false and/or  
26 misleading statements concerning the safety of Singulair® and montelukast.

27 179. Defendants' negligence included:  
28

- a) Manufacturing, producing, promoting, formulating, creating, developing, designing, selling, advertising, and/or distributing Singulair® without thorough and adequate pre- and post-market testing;
- b) Manufacturing, producing, promoting, formulating, creating, developing, designing, selling, advertising, and/or distributing Singulair® while negligently and/or intentionally concealing and failing to disclose the results of trials, tests, and studies of ingesting Singulair® and specifically its active ingredient, montelukast, and, consequently, the risk of serious harm associated with ingestion of Singulair®;
- c) Failing to undertake sufficient studies and conduct necessary tests to determine whether Singulair® was safe for its intended use;
- d) Failing to use reasonable and prudent care in the design, research, manufacture, and development of Singulair® so as to avoid the risk of serious harm associated with the ingestion of Singulair®;
- e) Failing to design and manufacture Singulair® so as to ensure it was at least as safe and effective as other medications on the market treating the same and/or similar conditions;
- f) Failing to provide adequate instructions, guidelines, and safety precautions to those persons Defendants could reasonably foresee would prescribe and use Singulair®;
- g) Failing to adequately disclose to Plaintiff, Plaintiff, physicians, users/consumers, and the general public that use and ingestion of Singulair® presented severe risks of developing neuropsychiatric events;
- h) Failing to adequately warn Plaintiff, Plaintiff, physicians, users/consumers, and the general public that Singulair®'s risk of harm was unreasonable and that there were safer and effective alternative medications available to Plaintiff, prescribing physicians, and other consumers and Systematically suppressing or ignoring contrary evidence



1 about the risks, incidence, and prevalence of the side effects of Singulair®  
 2 and montelukast- containing medications;

- 3 i) Representing that Singulair® was safe for its intended use when, in fact,  
 4 Defendants knew or should have known that Singulair® was not safe or  
 5 presented serious risks when used for its intended purpose;
- 6 j) Declining to make or propose any changes to Singulair®'s labeling or  
 7 other promotional materials that would alert consumers, physicians, and  
 8 the general public of the seriousness and magnitude of the risks of  
 9 ingesting Singulair® and its active ingredient, montelukast;
- 10 k) Advertising, marketing, and recommending the use of Singulair® while  
 11 concealing or failing to adequately disclose or warn of the dangers known  
 12 by Defendants to be associated with or caused by the use of Singulair®  
 13 and montelukast;
- 14 l) Continuing to disseminate information to consumers and physicians that  
 15 indicates or implies that Singulair® is safe for use; and
- 16 m) Continuing the manufacture and sale of Singulair® with the knowledge  
 17 that it was unreasonably unsafe and dangerous.

18 180. Defendants knew and/or should have known that it was foreseeable that individuals  
 19 such as Plaintiff would suffer injuries as a result of Defendants' failure to exercise ordinary and  
 20 reasonable care in the manufacturing, marketing, labeling, distribution, and sale of Singulair®.

21 181. Plaintiff and Plaintiff's prescriber did not know the nature and extent of the injuries  
 22 that could result from the intended use of Singulair® or its active ingredient, montelukast. Absent  
 23 Defendants' negligence, Plaintiff would not have developed neuropsychiatric events.

24 182. As a direct and proximate result of Defendants placing Singulair® into the stream of  
 25 commerce, Plaintiff suffered injuries, harms, losses, and damages.

26 183. Defendants are therefore liable for Plaintiff's damages arising from Defendants'  
 27 negligence.

28 184. Defendants' conduct, as described above, was not only negligent but it was also

1 oppressive and malicious. Defendants regularly risked the health and lives of consumers and users  
2 of Singulair®, including Plaintiff, with knowledge of Singulair®'s dangers. Defendants have made  
3 conscious decisions not to voluntarily re-design, re-label, adequately warn, or adequately inform  
4 physicians and the public, including Plaintiff of the increased risk of developing neuropsychiatric  
5 events when ingesting Singulair®. Defendants are guilty of oppression, in that their conscious  
6 disregard for Plaintiff's rights subjected Plaintiff to cruel and unjust hardship of suffering  
7 neuropsychiatric injury, as described above. Further, Defendants are guilty of malice because their  
8 despicable conduct was willful or done with conscious disregard of the rights and safety of  
9 consumers, including Plaintiff. Defendants' misconduct therefore warrants an award of exemplary  
10 damages.

#### 11 **FOURTH CAUSE OF ACTION**

#### 12 **NEGLIGENCE MISREPRESENTATION**

13 **(Against Merck Defendants, Organon and DOES 1-10, Inclusive)**

14 185. Plaintiff incorporates by reference each preceding and succeeding paragraphs as  
15 though set forth fully at length herein.

16 186. "Defendants" in this cause of action refers to Merck while it held the NDA and if it  
17 did so, to Organon during the period it held the NDA.

18 187. At all relevant times, Defendants designed, manufactured, tested (or not), packaged,  
19 labeled, marketed, advertised, promoted, supplied, distributed, sold, and/or otherwise placed  
20 Singulair/montelukast into the stream of commerce, and therefore owed a duty of reasonable care  
21 to avoid causing harm to those that consumed Singulair/montelukast, such as Plaintiff.

22 188. Defendants were negligent, reckless, and careless and owed a duty to Plaintiff to  
23 make accurate and truthful representations regarding Singulair/montelukast, Defendants breached  
24 their duty, thereby causing Plaintiff to suffer harm.

25 189. Defendants represented to Plaintiff, their physicians, and their prescriber via the  
26 media, advertising, website, social media, packaging, and promotions, among other  
27 misrepresentations described herein that Singulair/montelukast was both safe and effective;  
28 consumption of Singulair/montelukast would not result in neuropsychiatric side effects; and

1 Singulair/montelukast was safe for their intended use when, in fact, Defendants knew or should have  
2 known the product was not safe for its intended purpose.

3 190. These representations were false. Because Singulair/montelukast crosses the blood-  
4 brain-barrier, it can and does cause negative neuropsychiatric events. The side effects were so  
5 significant that the FDA required a Black Box warning on Singulair.

6 191. Defendants knew or should have known these representations were false and  
7 negligently made them without regard for their truth. Defendants had a duty to accurately provide  
8 this information to Plaintiff. In concealing this information from Plaintiff, Defendants breached their  
9 duty. Defendants also gained financially from and as a result of their breach.

10 192. Defendants intended for Plaintiff, their physicians, and their prescriber to rely on  
11 these representations.

12 193. Each of these misrepresentations were material at the time they were made. In  
13 particular, each of the misrepresentations concerned material facts that were essential to the analysis  
14 undertaken by Plaintiff as to whether to purchase or consume Singulair/montelukast.

15 194. Plaintiff, their physicians, and their prescriber reasonably relied on these  
16 representations and were harmed as described herein. Plaintiff's reliance on Defendants'  
17 representation was a substantial factor in causing Plaintiff's harms. Had Defendants told Plaintiff  
18 the truth about the safety and composition of Singulair/montelukast, Plaintiff would not have  
19 consumed or purchased them.

20 195. Defendants' acts and omissions as described herein were committed in reckless  
21 disregard of Plaintiff's rights, interests, and well-being to enrich Defendants.

22 196. Plaintiff was injured as a direct and proximate result of Defendants' negligent  
23 misrepresentations regarding Singulair/montelukast as described herein. These injuries were, or  
24 should have been, reasonably foreseeable to Defendants.

25 197. Defendants are therefore liable for Plaintiff's damages arising from Defendants'  
26 misrepresentations.

27 **FIFTH CAUSE OF ACTION**

28 **BREACH OF EXPRESS WARRANTY**

**(Against Merck Defendants, Organon and DOES 1-10, Inclusive)**

198. Plaintiff incorporates by reference each preceding and succeeding paragraph as though set forth fully at length herein.

199. “Defendants” in this cause of action refers to Merck while it held the NDA and if it did so, to Organon during the period it held the NDA.

200. At all relevant times, Defendants engaged in the business of testing, developing, designing, manufacturing, marketing, selling, distributing, and promoting Singulair®, which is defective and unreasonably dangerous to consumers, including Plaintiff, thereby placing Singulair® into the stream of commerce.

201. Defendants had a duty to exercise reasonable care in the research, development, design, testing, packaging, manufacture, inspection, labeling, distributing, marketing, promotion, sale, and release of Singulair®, including a duty to:

- a. ensure that its products did not cause the user unreasonably dangerous side effects;
- b. adequately warn of dangerous and potentially fatal side effects; and,
- c. adequately disclose adverse material facts, such as the true risks associated with the use of Singulair®, when making representations to consumers and the general public, including Plaintiff.

202. The ability of Defendants to properly disclose those risks associated with Singulair® is not limited to representations made on the labeling.

203. At all relevant times, Defendants expressly represented and warranted to the purchasers of their products, by and through statements made by Defendants in labels, publications, package inserts, and other written materials intended for consumers and the general public, that Singulair® was safe to human health, effective, fit, and proper for its intended use. Defendants advertised, labeled, marketed, and promoted Singulair® representing the quality to consumers and the public in such a way as to induce their purchase or use, thereby making an express warranty that Singulair® would conform to the representations.

204. These express representations include incomplete or inadequate warnings and

1 instructions that purport, but fail, to adequately include the complete array of risks associated with  
2 use of Singulair®. Defendants knew and/or should have known that the risks expressly included in  
3 Singulair® warnings and labels did not accurately or adequately set forth the risks of developing the  
4 serious injuries complained of herein. Nevertheless, Defendants expressly represented that  
5 Singulair® products were safe and effective, that they were safe and effective for use by individuals  
6 such as Plaintiff, and/or that they were safe and effective as a medication.

7 205. The representations about Singulair®, as set forth herein, contained or constituted  
8 affirmations of fact or promises made by the seller to the buyer, which related to the goods and  
9 became part of the basis of the bargain, creating an express warranty that the goods would conform to  
10 the representations.

11 206. Defendants placed Singulair® into the stream of commerce for sale and  
12 recommended its use to consumers and the public without adequately warning of the true risks of  
13 developing the injuries associated with the use of Singulair®.

14 207. Defendants breached these warranties because, among other things, Singulair® was  
15 defective, dangerous, and unfit for use, did not contain labels representing the true and adequate  
16 nature of the risks associated with its use, and were not merchantable or safe for its intended,  
17 ordinary, and foreseeable use and purpose. Specifically, Defendants breached the warranties in the  
18 following ways:

19 i. Defendants represented through their labeling, advertising, and  
20 marketing materials that Singulair® was safe, and intentionally or negligently withheld and  
21 concealed information about the risks of serious injury associated with use of Singulair® and by  
22 expressly limiting or ignoring the risks associated with use within its warnings and labels; and

23 ii. Defendants represented that Singulair® was safe for use and  
24 intentionally or negligently concealed information that demonstrated that use of Singulair®  
25 created an increased risk of developing and causing NSEs, and that Singulair®, therefore, was not  
26 safer than alternatives available on the market.

27 208. Plaintiff detrimentally relied on the express warranties and representations of  
28 Defendants concerning the safety and/or risk profile of Singulair® in deciding to purchase and

1 obtain the product. Plaintiff reasonably relied upon Defendants to accurately and adequately disclose  
2 known defects, risks, dangers, and side effects of Singulair®. Plaintiff would not have purchased or  
3 used Singulair® had Defendants properly disclosed the risks associated with the product, either  
4 through advertising, labeling, or any other form of disclosure.

5 209. Defendants had sole access to material facts concerning the nature of the risks  
6 associated with Singulair®, as expressly stated within Singulair® warnings and labels, and knew  
7 that consumers and users such as Plaintiff could not have reasonably discovered that the risks  
8 expressly included in Singulair® warnings and labels were inadequate and inaccurate.

9 210. Plaintiff had no knowledge of the falsity, incompleteness, or inadequacy of  
10 Defendants' statements and representations concerning Singulair®.

11 211. Plaintiff used Singulair® as researched, developed, designed, tested, manufactured,  
12 inspected, labeled, distributed, packaged, marketed, promoted, sold, or otherwise released into the  
13 stream of commerce by Defendants.

14 212. Had the warnings, labels, advertisements, or promotional material for Singulair®  
15 accurately and adequately set forth the true risks associated with the use of Singulair®, Plaintiff's  
16 injuries, rather than expressly excluding such information and warranting that the product was safe  
17 for its intended use, Plaintiff could have avoided the injuries, harms, losses, and damages  
18 complained of herein.

19 213. As a direct and proximate result of Defendants' breach of express warranty, Plaintiff  
20 has sustained pecuniary loss and general damages in a sum exceeding the jurisdictional minimum of  
21 this Court.

22 214. As a proximate result of Defendants' breach of express warranty, as alleged herein,  
23 there was a measurable and significant interval of time during which Plaintiff suffered great mental  
24 anguish and other personal injury harms, losses, and damages.

25 215. As a proximate result of Defendants' breach of express warranty, as alleged herein,  
26 Plaintiff sustained a loss of income and/or loss of earning capacity and/or other damages.

27 216. Defendants are therefore liable for Plaintiff's damages arising from Defendants'  
28 breach of warranty.



**SIXTH CAUSE OF ACTION**

**BREACH OF IMPLIED WARRANTY**

**(Against Merck Defendants, Organon and DOES 1-10, Inclusive)**

217. Plaintiff incorporate by reference each preceding and succeeding paragraph as though set forth fully at length herein.

218. “Defendants” in this cause of action refers to Merck while it held the NDA and if it did so, to Organon during the period it held the NDA.

219. At all relevant times, Defendants engaged in the business of testing, developing, designing, manufacturing, marketing, selling, distributing, and promoting Singulair®, which was and is defective and unreasonably dangerous to consumers, including Plaintiff, thereby placing Singulair® into the stream of commerce.

220. Before the time Plaintiff purchased Singulair®, Defendants impliedly warranted to its consumers, including Plaintiff, that Singulair® was of merchantable quality and safe and fit for the use for which it was intended; specifically, as a medication.

221. Defendants failed to adequately disclose that Singulair® has dangerous propensities when used as intended and that use of Singulair® carries an increased risk of developing severe injuries, including Plaintiff’s injuries.

222. Plaintiff was one of the intended beneficiaries of the implied warranties made by Defendants to purchasers of Singulair®.

223. Defendants expected Singulair® to reach, and Singulair® did in fact reach, consumers and users, including Plaintiff, without substantial change in the condition in which it was manufactured and sold by Defendants.

224. At all relevant times, Defendants were aware that consumers and users of their products, including Plaintiff, would use Singulair® as marketed by Defendants, which is to say that Plaintiffs were foreseeable users and purchasers of Singulair®.

225. Defendants intended that Singulair® be used in the manner in which Plaintiff, in fact, used it and which Defendants impliedly warranted to be of merchantable quality, safe, and fit for this use, even though Singulair® was not adequately tested or researched.

226. In reliance upon Defendants' implied warranty, Plaintiff purchased and used Singulair® as instructed and labeled and in the foreseeable manner intended, recommended, promoted, and marketed by Defendants.

227. Plaintiff could not have reasonably or adequately discovered or known of the risks of serious injury associated with Singulair®.

228. Defendants breached their implied warranty to Plaintiff in that Singulair® was not of merchantable quality, safe, or fit for its intended use, or adequately tested. Singulair® has dangerous propensities when used as intended and can cause serious injuries, including those injuries complained of herein.

229. The harm caused by Singulair® far outweighed its benefit, rendering the product more dangerous than an ordinary consumer or user would expect and more dangerous than alternative products.

230. As a direct and proximate result of Defendants' breach of implied warranty, Plaintiffs has sustained pecuniary loss and general damages in sums exceeding the jurisdictional minimum of this Court.

231. As a proximate result of the Defendants' breach of implied warranty, as alleged herein, there was a measurable and significant interval of time during which Plaintiffs suffered great mental anguish and other personal injury and damages.

232. As a proximate result of Defendants' breach of implied warranty, as alleged herein, Plaintiffs sustained a loss of income and/or loss of earning capacity and/or other damages.

233. Defendants are therefore liable for Plaintiff's damages arising from Defendants' breach of warranty.

## RELIEF REQUESTED

WHEREFORE, PLAINTIFF prays for judgment against Defendants, and each of them, as follows:

1. Past and future general damages, the exact amount of which has yet to be ascertained, in an amount which will conform to proof at time of trial;
2. Past and future economic and special damages according to proof at the time of trial;

This e-copy is the official court record (GC68150)

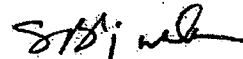
3. Loss of earnings and impaired earning capacity according to proof at the time of trial;
4. Medical expenses, past and future, according to proof at the time of trial;
5. Punitive or exemplary damages according to proof at the time of trial;
6. Attorney's fees, as allowable by law;
7. For costs of suit incurred herein;
8. For pre-judgment interest as provided by law; and
9. For such other and further relief as the Court may deem just and proper.

Respectfully submitted,

BOUCHER LLP

DATED: March 3, 2022

By:



SHEHNAZ M. BHUJWALA

WILENTZ, GOLDMAN & SPITZER  
KEVIN P. RODDY

BECK LAW CENTER  
KIMBERLY BECK

Attorneys for Plaintiff

This e-copy is the official court record (GC68150)

**DEMAND FOR JURY TRIAL**

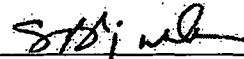
PLAINTIFF hereby demands a trial by jury as to all claims and issues in this action that  
are so triable.

Respectfully submitted,

BOUCHER LLP

DATED: March 3, 2022

By:



SHEHNAZ M. BHUJWALA

WILENTZ, GOLDMAN & SPITZER  
KEVIN P. RODDY

BECK LAW CENTER  
KIMBERLY BECK

Attorneys for Plaintiff

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address)

Shehnaz M. Bhujwala (SBN 223484)

Boucher LLP, 21600 Oxnard St., Suite 600, Woodland Hills, CA 91367

TELEPHONE NO.: (818) 340-5400

FAX NO. (Optional): (818) 340-5401

E-MAIL ADDRESS: bhujwala@boucher.la

ATTORNEY FOR (Name): Plaintiff Sherry Wallace

FOR COURT USE ONLY

ELECTRONICALLY FILED

Merced Superior Court

3/4/2022 10:08 AM

Amanda Toste

Clerk of the Superior Court

By: Tawn Saepanh, Deputy

## SUPERIOR COURT OF CALIFORNIA, COUNTY OF Merced

STREET ADDRESS: 627 W 21st Street

MAILING ADDRESS:

CITY AND ZIP CODE: Merced, CA 95340

BRANCH NAME: Old Merced Courthouse

CASE NAME:

Wallace v. Merck &amp; Co., Inc., et al.

## CIVIL CASE COVER SHEET

☒ **Unlimited** (Amount demanded exceeds \$25,000) ☐ **Limited** (Amount demanded is \$25,000 or less)

## Complex Case Designation

☐ Counter ☐ Joinder  
Filed with first appearance by defendant (Cal. Rules of Court, rule 3.402)

CASE NUMBER: 22CV-00737

JUDGE:

DEPT.:

Items 1-6 below must be completed (see instructions on page 2).

## 1. Check one box below for the case type that best describes this case:

## Auto Tort

☐ Auto (22)  
☐ Uninsured motorist (46)

## Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

☐ Asbestos (04)  
☒ Product liability (24)  
☐ Medical malpractice (45)  
☐ Other PI/PD/WD (23)

## Non-PI/PD/WD (Other) Tort

☐ Business tort/unfair business practice (07)  
☐ Civil rights (08)  
☐ Defamation (13)  
☐ Fraud (16)  
☐ Intellectual property (19)  
☐ Professional negligence (25)  
☐ Other non-PI/PD/WD tort (35)

## Employment

☐ Wrongful termination (36)  
☐ Other employment (15)

## Contract

☐ Breach of contract/warranty (06)  
☐ Rule 3.740 collections (09)  
☐ Other collections (09)  
☐ Insurance coverage (18)  
☐ Other contract (37)

## Real Property

☐ Eminent domain/Inverse condemnation (14)  
☐ Wrongful eviction (33)  
☐ Other real property (26)

## Unlawful Detainer

☐ Commercial (31)  
☐ Residential (32)  
☐ Drugs (38)

## Judicial Review

☐ Asset forfeiture (05)  
☐ Petition re: arbitration award (11)  
☐ Writ of mandate (02)  
☐ Other judicial review (39)

## Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 3.400-3.403)

☐ Antitrust/Trade regulation (03)  
☐ Construction defect (10)  
☐ Mass tort (40)  
☐ Securities litigation (28)  
☐ Environmental/Toxic tort (30)  
☐ Insurance coverage claims arising from the above listed provisionally complex case types (41)

## Enforcement of Judgment

☐ Enforcement of judgment (20)

## Miscellaneous Civil Complaint

☐ RICO (27)  
☐ Other complaint (not specified above) (42)

## Miscellaneous Civil Petition

☐ Partnership and corporate governance (21)  
☐ Other petition (not specified above) (43)

2. This case ☒ is ☐ is not complex under rule 3.400 of the California Rules of Court. If the case is complex, mark the factors requiring exceptional judicial management:

- a. ☒ Large number of separately represented parties d. ☒ Large number of witnesses  
b. ☒ Extensive motion practice raising difficult or novel issues that will be time-consuming to resolve e. ☒ Coordination with related actions pending in one or more courts in other counties, states, or countries, or in a federal court  
c. ☒ Substantial amount of documentary evidence f. ☐ Substantial postjudgment judicial supervision

3. Remedies sought (check all that apply): a. ☒ monetary b. ☐ nonmonetary; declaratory or injunctive relief c. ☒ punitive

4. Number of causes of action (specify): 6

5. This case ☐ is ☒ is not a class action suit.

6. If there are any known related cases, file and serve a notice of related case. (You may use form CM-015.)

Date: March 3, 2022

Shehnaz M. Bhujwala

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)

## NOTICE

- Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result in sanctions.
- File this cover sheet in addition to any cover sheet required by local court rule.
- If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all other parties to the action or proceeding.
- Unless this is a collections case under rule 3.740 or a complex case, this cover sheet will be used for statistical purposes only.

Page 1 of 2

## INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

**To Plaintiffs and Others Filing First Papers.** If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the Civil Case Cover Sheet contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the **primary** cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

**To Parties in Rule 3.740 Collections Cases.** A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

**To Parties in Complex Cases.** In complex cases only, parties must also use the Civil Case Cover Sheet to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

## CASE TYPES AND EXAMPLES

## Auto Tort

Auto (22)—Personal Injury/Property Damage/Wrongful Death  
Uninsured Motorist (46) *(if the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto)*

## Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

Asbestos (04)  
Asbestos Property Damage  
Asbestos Personal Injury/Wrongful Death  
Product Liability *(not asbestos or toxic/environmental)* (24)  
Medical Malpractice (45)  
Medical Malpractice—Physicians & Surgeons  
Other Professional Health Care Malpractice  
Other PI/PD/WD (23)  
Premises Liability (e.g., slip and fall)  
Intentional Bodily Injury/PD/WD (e.g., assault, vandalism)  
Intentional Infliction of Emotional Distress  
Negligent Infliction of Emotional Distress  
Other PI/PD/WD

## Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)  
Civil Rights (e.g., discrimination, false arrest) *(not civil harassment)* (08)  
Defamation (e.g., slander, libel) (13)  
Fraud (16)  
Intellectual Property (19)  
Professional Negligence (25)  
Legal Malpractice  
Other Professional Malpractice *(not medical or legal)*  
Other Non-PI/PD/WD Tort (35)

## Employment

Wrongful Termination (36)  
Other Employment (15)

## Contract

Breach of Contract/Warranty (06)  
Breach of Rental/Lease  
Contract *(not unlawful detainer or wrongful eviction)*  
Contract/Warranty Breach—Seller Plaintiff *(not fraud or negligence)*  
Negligent Breach of Contract/Warranty  
Other Breach of Contract/Warranty  
Collections (e.g., money owed, open book accounts) (09)  
Collection Case—Seller Plaintiff  
Other Promissory Note/Collections Case  
Insurance Coverage *(not provisionally complex)* (18)  
Auto Subrogation  
Other Coverage  
Other Contract (37)  
Contractual Fraud  
Other Contract Dispute

## Real Property

Eminent Domain/Inverse Condemnation (14)  
Wrongful Eviction (33)  
Other Real Property (e.g., quiet title) (26)  
Writ of Possession of Real Property  
Mortgage Foreclosure  
Quiet Title  
Other Real Property *(not eminent domain, landlord/tenant, or foreclosure)*

## Unlawful Detainer

Commercial (31)  
Residential (32)  
Drugs (38) *(if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential)*

## Judicial Review

Asset Forfeiture (05)  
Petition Re: Arbitration Award (11)  
Writ of Mandate (02)  
Writ—Administrative Mandamus  
Writ—Mandamus on Limited Court Case Matter  
Writ—Other Limited Court Case Review  
Other Judicial Review (39)  
Review of Health Officer Order  
Notice of Appeal—Labor Commissioner Appeals

## Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400–3.403)

Antitrust/Trade Regulation (03)  
Construction Defect (10)  
Claims Involving Mass Tort (40)  
Securities Litigation (28)  
Environmental/Toxic Tort (30)  
Insurance Coverage Claims *(arising from provisionally complex case type listed above)* (41)

## Enforcement of Judgment

Enforcement of Judgment (20)  
Abstract of Judgment (Out of County)  
Confession of Judgment *(non-domestic relations)*  
Sister State Judgment  
Administrative Agency Award *(not unpaid taxes)*  
Petition/Certification of Entry of Judgment on Unpaid Taxes  
Other Enforcement of Judgment Case

## Miscellaneous Civil Complaint

RICO (27)  
Other Complaint *(not specified above)* (42)  
Declaratory Relief Only  
Injunctive Relief Only *(non-harassment)*  
Mechanics Lien  
Other Commercial Complaint Case *(non-tort/non-complex)*  
Other Civil Complaint *(non-tort/non-complex)*

## Miscellaneous Civil Petition

Partnership and Corporate Governance (21)  
Other Petition *(not specified above)* (43)  
Civil Harassment  
Workplace Violence  
Elder/Dependent Adult Abuse  
Election Contest  
Petition for Name Change  
Petition for Relief From Late Claim  
Other Civil Petition

This e-copy is the official court record (GC68150)



# EXHIBIT 2

**STATE OF NEW JERSEY**  
**DEPARTMENT OF THE TREASURY**  
**DIVISION OF REVENUE AND ENTERPRISE SERVICES**  
**SHORT FORM STANDING**

**MERCK & CO., INC.**  
7954610000

*I, the Treasurer of the State of New Jersey, do hereby certify that the above-named New Jersey Domestic For-Profit Corporation was registered by this office on July 28, 1970.*

*As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.*

*I further certify that the registered agent and office are:*

C T CORPORATION SYSTEM  
820 BEAR TAVERN ROAD  
WEST TRENTON, NJ 08628



*IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal at Trenton, this 7th day of March, 2022.*

A handwritten signature in cursive script, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio  
State Treasurer

Certificate Number : 6129269226

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)

# EXHIBIT 3

Status Report For: MERCK & CO., INC.  
Report Date: 3/7/2022  
Confirmation Number: 220662587838

**IDENTIFICATION NUMBER, ENTITY TYPE AND STATUS INFORMATION**

Business ID Number: 7954610000  
Business Type: DOMESTIC PROFIT CORPORATION  
Status: ACTIVE  
Original Filing Date: 07/28/1970  
Stock Amount: 6520000000  
Home Jurisdiction: NJ  
Status Change Date: 11-02-2009

**REVOCATION/SUSPENSION INFORMATION**

DOR Suspension Start Date: N/A  
DOR Suspension End Date: N/A  
Tax Suspension Start Date: N/A  
Tax Suspension End Date: N/A

**ANNUAL REPORT INFORMATION**

Annual Report Month: JULY  
Last Annual Report Filed: 07/21/2021  
Year: 2021

**AGENT/SERVICE OF PROCESS (SOP) INFORMATION**

Agent: C T CORPORATION SYSTEM  
Agent/SOP Address: 820 BEAR TAVERN ROAD ,WEST TRENTON,NJ,08628  
Address Status: DELIVERABLE  
Main Business Address: 2000 GALLOPING HILL ROAD, KENILWORTH, NJ, 07033 1310  
Principal Business Address: 2000 GALLOPING HILL ROAD,KENILWORTH,NJ,07033 1310

**ASSOCIATED NAMES**

Associated Name: SCHERING-PLOUGH CORPORATION  
Type: PV

**PRINCIPALS**

Following are the most recently reported officers/directors (corporations), managers/members/managing members (LLCs), general partners (LPs), trustees/officers (non-profits).

|          |  |
|----------|--|
| Title:   | PRESIDENT                                    |
| Name:    | KARACHUN,RITA                                |
| Address: | 2000 GALLOPING HILL ROAD, KENILWORTH, , , US |
| Title:   | SECRETARY                                    |
| Name:    | FILDERMAN,JON                                |
| Address: | 2000 GALLOPING HILL ROAD, KENILWORTH, , , US |
| Title:   | TREASURER                                    |
| Name:    | LITCHFIELD,CAROLINE                          |
| Address: | 2000 GALLOPING HILL ROAD, KENILWORTH, , , US |
| Title:   | OTHER  |
| Name:    | Greze,Kelly E                                |
| Address: | 2000 GALLOPING HILL ROAD, KENILWORTH, , , US |

**FILING HISTORY -- CORPORATIONS, LIMITED LIABILITY COMPANIES, LIMITED PARTNERSHIPS AND LIMITED LIABILITY PARTNERSHIPS**

To order copies of any of the filings below, return to the service page, <https://www.njportal.com/DOR/businessrecords/Default.aspx> and follow the instructions for obtaining copies. Please note that trade names are filed initially with the County Clerk(s) and are not available through this service. Contact the Division for instructions on how to order Trade Mark documents.

Charter Documents for Corporations, LLCs, LPs and LLPs

|                    |      |
|--------------------|------|
| Original Filing    | 1970 |
| (Certificate)Date: |      |

Changes and Amendments to the Original Certificate:

| Filing Type                 | Year Filed |
|-----------------------------|------------|
| CHANGE OF REGISTERED OFFICE | 1984       |
| CHANGE OF REGISTERED OFFICE | 1984       |
| CHANGE OF REGISTERED AGENT  | 2000       |
| CHANGE OF REGISTERED AGENT  | 2001       |
| CHANGE OF REGISTERED AGENT  | 2004       |
| CHANGE OF REGISTERED AGENT  | 1994       |
| CORRECTION                  | 2004       |
| MERGER                      | 2009       |

|   |      |
|---|------|
| MERGER  | 1987 |
| MERGER  | 1990 |
| RESTATED  | 2004 |
| RESTATED  | 2007 |
| RESTATED  | 2006 |
| RESTATED  | 2007 |
| RESTATED  | 2007 |
| RESTATED WITH NAME<br>CHANGE                          | 2009 |
| CHANGE OF AGENT AND<br>OFFICE                         | 2000 |
| CHANGE OF AGENT AND<br>OFFICE                         | 2008 |
| CHANGE OF AGENT AND<br>OFFICE                         | 1996 |
| AMENDMENT   | 2004 |
| AMENDMENT   | 2007 |
| AMENDMENT   | 2007 |
| AMENDMENT   | 2021 |
| AMENDMENT   | 1971 |
| AMENDMENT   | 1971 |
| AMENDMENT   | 1973 |
| AMENDMENT   | 1975 |
| AMENDMENT   | 1979 |
| AMENDMENT   | 1979 |
| AMENDMENT   | 1984 |
| AMENDMENT   | 1984 |
| AMENDMENT   | 1985 |
| AMENDMENT   | 1987 |
| AMENDMENT   | 1989 |
| AMENDMENT   | 1995 |
| AMENDMENT   | 1997 |
| AMENDMENT   | 1998 |
| AMENDMENT   | 1997 |
| Annual Report Filing<br>with address change           | 2015 |
| Annual Report filing<br>with officer/member<br>change | 2015 |
| Annual Report filing<br>with officer/member<br>change | 2016 |
| Annual Report filing<br>with officer/member<br>change | 2017 |
| Annual Report filing<br>with officer/member<br>change | 2018 |
| Annual Report filing<br>with officer/member<br>change | 2019 |
| Annual Report filing<br>with officer/member<br>change | 2020 |
| Annual Report filing<br>with officer/member<br>change | 2021 |




Note:

Copies of some of the charter documents above, particularly those filed before June 1988 and recently filed documents (filed less than 20 work days from the current date), may not be available for online download.

- For older filings, contact the Division for instructions on how to order.
- For recent filings, allow 20 work days from the estimated filing date, revisit the service center at <https://www.njportal.com/DOR/businessrecords/Default.aspx> periodically, search for the business again and build a current list of its filings. Repeat this procedure until the document shows on the list of documents available for download.

The Division cannot provide information on filing requests that are in process. Only officially filed documents are available for download.

# EXHIBIT 4

|   |  |                                 |   |
|---|--|---------------------------------|---|
|    | <b>Secretary of State</b><br><b>Statement of Information</b><br>(California Stock, Agricultural<br>Cooperative and Foreign Corporations) | <b>SI-550</b><br><br><b>111</b> | <b>FILED</b><br><b>Secretary of State</b><br><b>State of California</b><br><br><b>DEC 20 2021</b> |
| <b>IMPORTANT — Read instructions before completing this form.</b> Fees (Filing plus Disclosure) – \$25.00;<br><br><b>Copy Fees</b> – First page \$1.00; each attachment page \$0.50;<br>Certification Fee - \$5.00 plus copy fees                             |  |                                 | <i>This Space For Office Use Only</i>   |
| <b>1. Corporation Name</b> (Enter the <b>exact</b> name of the corporation as it is recorded with the California Secretary of State. Note: If you registered in California using an assumed name, <u>see instructions.</u> )<br><br>Merck Sharp & Dohme Corp. |  |                                 |   |
| <b>2. 7-Digit Secretary of State Entity Number</b><br><br><b>C0200917</b>   |  |                                 |   |

**3. Business Addresses**

|   |                                       |             |                   |
|---|---------------------------------------|-------------|-------------------|
| a. Street Address of Principal Executive Office - Do not list a P.O. Box<br>2000 Galloping Hill Road            | City (no abbreviations)<br>Kenilworth | State<br>NJ | Zip Code<br>07033 |
| b. Mailing Address of Corporation, if different than item 3a  | City (no abbreviations)               | State       | Zip Code          |
| c. Street Address of Principal California Office, if any and if different than Item 3a - Do not list a P.O. Box | City (no abbreviations)               | State<br>CA | Zip Code          |

**4. Officers**

The Corporation is required to list all three of the officers set forth below. An additional title for the Chief Executive Officer and Chief Financial Officer may be added; however, the preprinted titles on this form must not be altered.

|                                     |            |             |                                       |                               |
|-------------------------------------|------------|-------------|---------------------------------------|-------------------------------|
| a. Chief Executive Officer/<br>Rita | First Name | Middle Name | Last Name<br>Karachun                 | Suffix                        |
| Address<br>2000 Galloping Hill Road |            |             | City (no abbreviations)<br>Kenilworth | State<br>NJ Zip Code<br>07033 |
| b. Secretary<br>Kelly               | First Name | Middle Name | Last Name<br>Grez                     | Suffix                        |
| Address<br>2000 Galloping Hill Road |            |             | City (no abbreviations)<br>Kenilworth | State<br>NJ Zip Code<br>07033 |
| c. Chief Financial Officer/<br>Jon  | First Name | Middle Name | Last Name<br>Filderman                | Suffix                        |
| Address<br>2000 Galloping Hill Road |            |             | City (no abbreviations)<br>Kenilworth | State<br>NJ Zip Code<br>07033 |

**5. Director(s)**

California Stock and Agricultural Cooperative Corporations ONLY: **Item 5a:** At least one name and address must be listed. If the Corporation has additional directors, enter the name(s) and addresses on Form SI-550A (see instructions).

|  |             |                                       |                               |
|--|-------------|---------------------------------------|-------------------------------|
| a. First Name<br>Rita                                    | Middle Name | Last Name<br>Karachun                 | Suffix                        |
| Address<br>2000 Galloping Hill Road                      |             | City (no abbreviations)<br>Kenilworth | State<br>NJ Zip Code<br>07033 |
| b. Number of Vacancies on the Board of Directors, if any |             |                                       |                               |

**6. Service of Process** (Must provide either Individual OR Corporation.)

**INDIVIDUAL** – Complete Items 6a and 6b only. Must include agent's full name and California street address.

|   |             |                         |                      |
|---|-------------|-------------------------|----------------------|
| a. California Agent's First Name (if agent is not a corporation)            | Middle Name | Last Name               | Suffix               |
| b. Street Address (if agent is not a corporation) - Do not enter a P.O. Box |             | City (no abbreviations) | State<br>CA Zip Code |

**CORPORATION** – Complete Item 6c only. Only include the name of the registered agent Corporation.

|  |                 |
|--|-----------------|
| c. California Registered Corporate Agent's Name (if agent is a corporation) – Do not complete Item 6a or 6b<br>C T Corporation | <b>C0168406</b> |
|--|-----------------|

**7. Type of Business**

|  |
|--|
| Describe the type of business or services of the Corporation<br>Pharmaceutical manufacturing |
|--|

**8. The information contained herein, including in any attachments, is true and correct.**

12/13/2021

Brian DiMaria

Authorized Representative

Date

Type or Print Name of Person Completing the Form

Title

Signature



**Attachment to  
Statement of Information**  
(California Stock and Agricultural  
Cooperative Corporations)

**SI-550A  
Attachment**

**A. Corporation Name**

Merck Sharp & Dohme Corp.

**B. 7-Digit Secretary of State Entity Number**

**C0200917**

This Space For Office Use Only

**C. List of Additional Director(s) – If the corporation has more than one director, enter the additional directors' names and addresses.**

|  |  |                                 |                          |
|--|--|---------------------------------|--------------------------|
| 5b. First Name<br><b>Aaron</b>             | Middle Name                                  | Last Name<br><b>Rosenberg</b>   | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5c. First Name<br><b>Timothy</b>           | Middle Name                                  | Last Name<br><b>Dillane</b>     | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5d. First Name<br><b>Karen</b>             | Middle Name                                  | Last Name<br><b>Ettelman</b>    | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5e. First Name<br><b>Juanita</b>           | Middle Name                                  | Last Name<br><b>Lee</b>         | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5f. First Name<br><b>Jerome</b>            | Middle Name                                  | Last Name<br><b>Mychalowych</b> | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5g. First Name<br><b>Michael</b>           | Middle Name                                  | Last Name<br><b>Schwartz</b>    | Suffix                   |
| Address<br><b>2000 Galloping Hill Road</b> | City (no abbreviations)<br><b>Kenilworth</b> | State<br><b>NJ</b>              | Zip Code<br><b>07033</b> |
| 5h. First Name                             | Middle Name                                  | Last Name                       | Suffix                   |
| Address                                    | City (no abbreviations)                      | State                           | Zip Code                 |
| 5i. First Name                             | Middle Name                                  | Last Name                       | Suffix                   |
| Address                                    | City (no abbreviations)                      | State                           | Zip Code                 |
| 5j. First Name                             | Middle Name                                  | Last Name                       | Suffix                   |
| Address                                    | City (no abbreviations)                      | State                           | Zip Code                 |

# EXHIBIT 5

**STATE OF NEW JERSEY**  
**DEPARTMENT OF THE TREASURY**  
**DIVISION OF REVENUE AND ENTERPRISE SERVICES**  
**SHORT FORM STANDING**

**MERCK SHARP & DOHME LLC**  
0600468333

*I, the Treasurer of the State of New Jersey, do hereby certify that the above-named New Jersey Domestic Limited Liability Company was registered by this office on June 29, 2020.*

*As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.*

*I further certify that the registered agent and office are:*

C T CORPORATION SYSTEM  
820 BEAR TAVERN ROAD  
WEST TRENTON, NJ 08628



*IN TESTIMONY WHEREOF, I have  
hereunto set my hand and affixed  
my Official Seal at Trenton, this  
19th day of May, 2022*

A handwritten signature in black ink, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio  
State Treasurer

Certificate Number : 6132076627

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)

# EXHIBIT 6



Status Report For: MERCK SHARP & DOHME LLC  
Report Date: 5/19/2022  
Confirmation Number: 221392662366

**IDENTIFICATION NUMBER, ENTITY TYPE AND STATUS INFORMATION**

Business ID Number: 0600468333  
Business Type: DOMESTIC LIMITED LIABILITY COMPANY  
Status: ACTIVE  
Original Filing Date: 06/29/2020  
Stock Amount: N/A  
Home Jurisdiction: NJ  
Status Change Date: 04-12-2022

**REVOCATION/SUSPENSION INFORMATION**

DOR Suspension Start Date: N/A  
DOR Suspension End Date: N/A  
Tax Suspension Start Date: N/A  
Tax Suspension End Date: N/A

**ANNUAL REPORT INFORMATION**

Annual Report Month: JUNE  
Last Annual Report Filed: 05/24/2021  
Year: 2021

**AGENT/SERVICE OF PROCESS (SOP) INFORMATION**

Agent: C T CORPORATION SYSTEM  
Agent/SOP Address: 820 BEAR TAVERN ROAD ,WEST TRENTON,NJ,08628  
Address Status: DELIVERABLE  
Main Business Address: 126 EAST LINCOLN AVE., PO BOX 2000, RAHWAY, NJ, 07065  
Principal Business Address: 2000 Galloping Hill Rd,Kenilworth,NJ,07033

**ASSOCIATED NAMES**

Associated Name: N/A  
Type: N/A

**PRINCIPALS**

Following are the most recently reported officers/directors (corporations), managers/members/managing members (LLCs), general partners (LPs), trustees/officers (non-profits).

|          |  |
|----------|--|
| Title:   | PRESIDENT                                  |
| Name:    | Karachun,Rita                              |
| Address: | 2000 Galloping Hill Rd, Kenilworth, , , US |
| Title:   | VICE PRESIDENT                             |
| Name:    | Filderman,John                             |
| Address: | 2000 Galloping Hill Rd, Kenilworth, , , US |
| Title:   | TREASURER                                  |
| Name:    | Litchfield,Caroline                        |
| Address: | 2000 Galloping Hill Rd, Kenilworth, , , US |

**FILING HISTORY -- CORPORATIONS, LIMITED LIABILITY COMPANIES, LIMITED PARTNERSHIPS AND LIMITED LIABILITY PARTNERSHIPS**

To order copies of any of the filings below, return to the service page, <https://www.njportal.com/DOR/businessrecords/Default.aspx> and follow the instructions for obtaining copies. Please note that trade names are filed initially with the County Clerk(s) and are not available through this service. Contact the Division for instructions on how to order Trade Mark documents.

Charter Documents for Corporations, LLCs, LPs and LLPs

|                                       |      |
|---------------------------------------|------|
| Original Filing<br>(Certificate)Date: | 2020 |
|---------------------------------------|------|

Changes and Amendments to the Original Certificate:

|   |            |
|---|------------|
| Filing Type   | Year Filed |
| CORRECTION  | 2022       |
| MERGER  | 2022       |
| Annual Report Filing<br>with address change           | 2021       |
| Annual Report filing<br>with officer/member<br>change | 2021       |

Note:

Copies of some of the charter documents above, particularly those filed before June 1988 and recently filed documents (filed less than 20 work days from the current date), may not be available for online download.

- For older filings, contact the Division for instructions on how to order.
- For recent filings, allow 20 work days from the estimated filing date, revisit the service center at <https://www.njportal.com/DOR/businessrecords/Default.aspx>

periodically, search for the business again and build a current list of its filings. Repeat this procedure until the document shows on the list of documents available for download.

The Division cannot provide information on filing requests that are in process. Only officially filed documents are available for download.

# EXHIBIT 7

# Delaware

Page 1

The First State

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "ORGANON & CO." IS DULY INCORPORATED  
UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND  
HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS  
OFFICE SHOW, AS OF THE EIGHTH DAY OF MARCH, A.D. 2022.*



7834229 8300

SR# 20220910198

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 202857472

Date: 03-08-22

# EXHIBIT 8

**STATE OF NEW JERSEY**  
**DEPARTMENT OF THE TREASURY**  
**DIVISION OF REVENUE AND ENTERPRISE SERVICES**  
**SHORT FORM STANDING**

**ORGANON & CO.**  
0101057626

*I, the Treasurer of the State of New Jersey, do hereby certify that the above-named Delaware Foreign For-Profit Corporation was registered by this office on February 23, 2021.*

*As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.*

*I further certify that the registered agent and office are:*

C T CORPORATION SYSTEM  
820 BEAR TAVERN ROAD  
WEST TRENTON, NJ 08628



*IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal at Trenton, this 7th day of March, 2022.*

A handwritten signature in cursive script, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio  
State Treasurer

Certificate Number : 6129269156

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)



# EXHIBIT 9

# Delaware

Page 1

The First State

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "ORGANON LLC" IS DULY FORMED UNDER THE  
LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A  
LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF  
THE EIGHTH DAY OF MARCH, A.D. 2022.*



7803284 8300

SR# 20220910323

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202858233

Date: 03-08-22

# EXHIBIT 10

**STATE OF NEW JERSEY**  
**DEPARTMENT OF THE TREASURY**  
**DIVISION OF REVENUE AND ENTERPRISE SERVICES**  
**SHORT FORM STANDING**

**ORGANON LLC**  
0600467987

*I, the Treasurer of the State of New Jersey, do hereby certify that the above-named Delaware Foreign Limited Liability Company was registered by this office on May 13, 2020.*

*As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.*

*I further certify that the registered agent and office are:*

C T CORPORATION SYSTEM  
820 BEAR TAVERN ROAD  
WEST TRENTON, NJ 08628



*IN TESTIMONY WHEREOF, I have  
hereunto set my hand and affixed  
my Official Seal at Trenton, this  
7th day of March, 2022*

A handwritten signature in cursive script, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio  
State Treasurer

Certificate Number : 6129269364

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)

# EXHIBIT 11

**Secretary of State**  
**Statement of Information**  
(Limited Liability Company)

LLC-12

21-C55432

**FILED**In the office of the Secretary of State  
of the State of California

MAY 17, 2021

**This Space For Office Use Only****IMPORTANT** — Read instructions before completing this form.**Filing Fee – \$20.00****Copy Fees** – First page \$1.00; each attachment page \$0.50;  
Certification Fee - \$5.00 plus copy fees**1. Limited Liability Company Name** (Enter the exact name of the LLC. If you registered in California using an alternate name, [see instructions.](#))

ORGANON LLC

**2. 12-Digit Secretary of State File Number**

202017610765

**3. State, Foreign Country or Place of Organization** (only if formed outside of California)

DELAWARE

**4. Business Addresses**

|  |                         |       |          |
|--|-------------------------|-------|----------|
| a. Street Address of Principal Office - Do not list a P.O. Box                                   | City (no abbreviations) | State | Zip Code |
| 30 Hudson Street, 33rd Floor   | Jersey City             | NJ    | 07302    |
| b. Mailing Address of LLC, if different than item 4a   | City (no abbreviations) | State | Zip Code |
| 30 Hudson Street, 33rd Floor   | Jersey City             | NJ    | 07302    |
| c. Street Address of California Office, if Item 4a is not in California - Do not list a P.O. Box | City (no abbreviations) | State | Zip Code |
|  |                         | CA    |          |

**5. Manager(s) or Member(s)**If no **managers** have been appointed or elected, provide the name and address of each **member**. At least one name **and** address must be listed. If the manager/member is an individual, complete Items 5a and 5c (leave Item 5b blank). If the manager/member is an entity, complete Items 5b and 5c (leave Item 5a blank). Note: The LLC cannot serve as its own manager or member. If the LLC has additional managers/members, enter the name(s) and addresses on Form LLC-12A ([see instructions](#)).

|   |                         |           |          |
|---|-------------------------|-----------|----------|
| a. First Name, if an individual - Do not complete Item 5b | Middle Name             | Last Name | Suffix   |
| b. Entity Name - Do not complete Item 5a                  |                         |           |          |
| Organon & Co.   |                         |           |          |
| c. Address  | City (no abbreviations) | State     | Zip Code |
| 30 Hudson Street, 33rd Floor                              | Jersey City             | NJ        | 07302    |

**6. Service of Process** (Must provide either Individual **OR** Corporation.)**INDIVIDUAL** – Complete Items 6a and 6b only. Must include agent's full name and California street address.

|   |                         |           |          |
|---|-------------------------|-----------|----------|
| a. California Agent's First Name (if agent is <b>not</b> a corporation)                   | Middle Name             | Last Name | Suffix   |
| b. Street Address (if agent is <b>not</b> a corporation) - <b>Do not enter a P.O. Box</b> | City (no abbreviations) | State     | Zip Code |
|   |                         | CA        |          |

**CORPORATION** – Complete Item 6c only. Only include the name of the registered agent Corporation.

c. California Registered Corporate Agent's Name (if agent is a corporation) – Do not complete Item 6a or 6b

C T CORPORATION SYSTEM (C0168406)

**7. Type of Business**a. Describe the type of business or services of the Limited Liability Company  
wholesale drug and manufacturing company**8. Chief Executive Officer, if elected or appointed**

|               |                         |           |          |
|---------------|-------------------------|-----------|----------|
| a. First Name | Middle Name             | Last Name | Suffix   |
| b. Address    | City (no abbreviations) | State     | Zip Code |

**9. The Information contained herein, including any attachments, is true and correct.**

05/17/2021

Date

Faye C Brown

Type or Print Name of Person Completing the Form

Assistant Secretary

Title

Signature

**Return Address (Optional)** (For communication from the Secretary of State related to this document, or if purchasing a copy of the filed document enter the name of a person or company and the mailing address. This information will become public when filed. [SEE INSTRUCTIONS](#) BEFORE COMPLETING.)

Name: [ ]

Company:

Address:

City/State/Zip: [ ]